

Visual notation and patterns for Abstract State Machines

Paolo Arcaini¹, Silvia Bonfanti², Angelo Gargantini², and Elvinia Riccobene³

¹ Charles University in Prague, Faculty of Mathematics and Physics, Czech Republic
`arcaini@d3s.mff.cuni.cz`

² Department of Economics and Technology Management, Information Technology
and Production, Università degli Studi di Bergamo, Italy
`{silvia.bonfanti,angelo.gargantini}@unibg.it`

³ Dipartimento di Informatica, Università degli Studi di Milano, Italy
`elvinia.riccobene@unimi.it`

Abstract. Formal models are a rigorous way to specify informal system requirements. However, they are not widely used in practice, since they are considered difficult to develop and understand. Visualization is often considered a good means for people to communicate and to get a common understanding. We here make a proposal of a visual notation for Abstract State Machines (ASMs), and we introduce *visual trees* that visualize ASM transition rules. In addition to these graphical components that are based only on the syntactical structure of the model, we also present *visual patterns* that permit to visualize part of the behavior of the machine. A tool is also available to graphically represent ASM models using the proposed notation.

1 Introduction

Formal models are in principle accepted as the only way to specify in a precise and rigorous way the informal system requirements: they help to understand what has to be developed and to prove properties already at the early stages of the system development. However, formal specification languages are not widely used in industry, and practitioners largely consider formal methods “too hard to understand and use in practice”. Limiting factors are the lack of *simplicity, learnability, readability, easiness of use* of formal notations [23]. All these nonfunctional properties are fundamentals to achieve easiness of development and comprehension of models, particularly for large, complex software systems. Requirement models should act as a communication medium among customers, users, designers, developers, and this common understanding is fundamental for the success of the system realization. However, since the mathematical notation is not always intuitive, and the size of the specification often consists of several pages of rules and formulas, model comprehension is threatened.

Visualization is considered as a good means for people to communicate and to get a common understanding. Indeed, the use of diagrams and graphical blocks is at the base of the mostly used notations in industry, as FSMs (and their extensions) or UML, the latter nowadays accepted as the industrial standard for

system design. However, their shortcomings, as limited expressiveness for FSM w.r.t. other formal notations [6] or semantics lack for UML [7], are well-known.

Ever since UML appeared, many modeling approaches have been developed which try to use UML (or one of its profiles or domain-specific UML-like notations) as front-end of the requirements specification and formal notations as back-end of the process, to provide rigor and preciseness to lightweight models and make model validation and verification possible [22,20,21,18,12].

Abstract State Machines (ASMs) are an extension of FSMs, obtained by replacing unstructured control states by states comprising arbitrarily complex data [6]. ASMs have been widely used as requirement specification formalism. Despite of their mathematical foundation, a practitioner needs no special training to use the method since ASMs can be correctly understood as pseudo-code (or virtual machines) working over abstract data structures. Furthermore, to ease its use by non-experts, a series of integrated tools (for editing, validation, and verification) have been developed around ASMs [4].

Although the ASM textual notation [11] has been designed with readability in mind, our experience in trying to build and read very large system specifications [3,1] has shown that the complexity of the behavior being described overwhelms the reader, and most users (even the authors of the specification) need help in navigating and understanding it. This also happened while we were developing the ABZ 2016 case study [2], that motivated the current work. We tried, at first, to directly specify the ASM models from the textual description of the requirements. Although the refinement process helped us in managing the complexity of the case study, we still had some problems in discussing among us about the solution. So, we started making some drawings, whose notation was inspired by different sources: control flow graphs, UML state machines, sequence diagrams, etc. The lack of a way to graphically represent ASM models was clear.

A further observation we have made is that most of the new ASM users start developing ASM models as control state ASMs, a particular frequent class of ASMs – proposed by Börger in [6] – useful to model system modes (or control states). Control state ASMs have an intuitive graphical representation by means of FSM-like state diagrams. However, when the system to model is very complex, the resulting control state is too complicated and fails in achieving its main aim, i.e., easily communicating the behavior of the system. Moreover, a systematic use of control state ASMs is missing, and there is no algorithmic support to build or reconstruct such machines from models written in textual notations.

Starting from the motivations that (a) formality is important but also understanding and communicating among stakeholders is fundamental, (b) visualization of formal models can surely aid the understanding of model structure and behaviors, (c) visual editing is often used to help designers and developers to graphically build complex models [9], we here propose a graphical notation for ASMs. The overall visualization of a model is given in terms of a graph. In addition, we define *structural* patterns, useful to visualize the structure of a model in a more compact way, and *semantic* patterns to be used when additional information on the machine workflow can be inferred from the model.

The paper is organized as follows. Sect. 2 gives a brief background on ASMs. In Sect. 3, we introduce our proposal of a visual notation for ASMs, whose basic constituents (i.e., visual trees) are defined in Sect. 4. Sect. 5 shows that ASM models usually contain particular recurring patterns of ASM rules that can be visualized in a proper way: some patterns are simply structural, whereas others permit to infer some of the behavioral semantics of the ASM. Sect. 6 presents the prototypical implementation of a tool supporting the proposed visual notation. Sect. 7 describes a preliminary evaluation of the tool. Sect. 8 discusses some related work, and Sect. 9 concludes the paper.

2 Abstract State Machines

Abstract State Machines (ASMs) [6] are an extension of FSMs, where unstructured control states are replaced by states with arbitrary complex data. Although the method has a rigorous mathematical foundation, a practitioner can simply understand ASMs as pseudo-code working over abstract data structures.

ASM *states* are algebraic structures, i.e., domains of objects with functions and predicates defined on them. An ASM *location*, defined as the pair (*function-name*, *list-of-parameter-values*), represents the abstract ASM concept of basic object container. The couple (*location*, *value*) represents a machine memory unit. Therefore, ASM states can be viewed as abstract memories.

Values of locations can be changed by firing *transition rules*. They express the modification of functions interpretation from one state to the next one. Location *updates* are the basic units of rules construction and are given as assignments of the form $loc := v$, where *loc* is a location and *v* its new value. The description of all basic ASM transition rules is given in Table 1.

An ASM *computation* is a finite or infinite sequence $S_0, S_1, \dots, S_n, \dots$ of states of the machine, where S_0 is an initial state and each S_{n+1} is obtained from S_n by firing the unique *main rule* which can fire other transitions rules.

There exists a classification of ASM functions that, however, is not relevant for understanding the current work and, therefore, is here skipped.

A set of tools exists to support the ASM modeling process. Tools are part of the ASMETA (ASM mETAmodeling) framework⁴ [4], and are strongly integrated in order to permit reusing information about models during different development phases. ASMETA provides basic functionalities for ASM models creation and manipulation (as editing using the AsmetaL textual syntax [11], storage, interchange, access, etc.), and supports advanced model analysis techniques (as validation, verification, testing, model review, requirements analysis, runtime verification, etc.).

3 A visual notation for ASMs

In this section, we introduce the meaning, the goals, and the possible usage scenarios for the proposed visual notation for ASM models.

The proposed visual notation is defined in terms of a set of construction rules and schemas that give a graphical representation of an ASM and its rules.

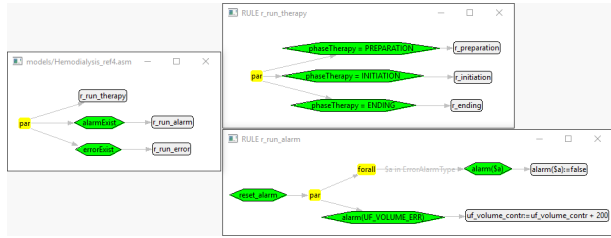
⁴ <http://asmeta.sourceforge.net/>

```

1714
1715
1716
1717
1718
1719
1720
1721
1722
1723
1724
1725
1726
1727
1728
1729
1730
1731
1732
1733
1734
1735
1736
1737
1738
1739
1740
1741
1742
1743
1744
1745
1746
1747
1748
1749
1750
1751
1752
1753
1754
1755
1756
1757
1758
1759
1760
1761
1762
1763
1764
1765
1766
1767
1768
1769
1770
1771
1772
1773
1774
1775
1776
1777
1778
1779
1780
1781
1782
1783
1784
1785
1786
1787
1788
1789
1790
1791
1792
1793
1794
1795
1796
1797
1798
1799
1800
1801
1802
1803
1804
1805
1806
1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846
1847
1848
1849
1850
1851
1852
1853
1854
1855
1856
1857
1858
1859
1860
1861
1862
1863
1864
1865
1866
1867
1868
1869
1870
1871
1872
1873
1874
1875
1876
1877
1878
1879
1880
1881
1882
1883
1884
1885
1886
1887
1888
1889
1890
1891
1892
1893
1894
1895
1896
1897
1898
1899
1900
1901
1902
1903
1904
1905
1906
1907
1908
1909
1910
1911
1912
1913
1914
1915
1916
1917
1918
1919
1920
1921
1922
1923
1924
1925
1926
1927
1928
1929
1930
1931
1932
1933
1934
1935
1936
1937
1938
1939
1940
1941
1942
1943
1944
1945
1946
1947
1948
1949
1950
1951
1952
1953
1954
1955
1956
1957
1958
1959
1960
1961
1962
1963
1964
1965
1966
1967
1968
1969
1970
1971
1972
1973
1974
1975
1976
1977
1978
1979
1980
1981
1982
1983
1984
1985
1986
1987
1988
1989
1990
1991
1992
1993
1994
1995
1996
1997
1998
1999
2000
2001
2002
2003
2004
2005
2006
2007
2008
2009
2010
2011
2012
2013
2014
2015
2016
2017
2018
2019
2020
2021
2022
2023
2024
2025
2026
2027
2028
2029
2030
2031
2032
2033
2034
2035
2036
2037
2038
2039
2040
2041
2042
2043
2044
2045
2046
2047
2048
2049
2050
2051
2052
2053
2054
2055
2056
2057
2058
2059
2060
2061
2062
2063
2064
2065
2066
2067
2068
2069
2070
2071
2072
2073
2074
2075
2076
2077
2078
2079
2080
2081
2082
2083
2084
2085
2086
2087
2088
2089
2090
2091
2092
2093
2094
2095
2096
2097
2098
2099
2100
2101
2102
2103
2104
2105
2106
2107
2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
2125
2126
2127
2128
2129
2130
2131
2132
2133
2134
2135
2136
2137
2138
2139
2140
2141
2142
2143
2144
2145
2146
2147
2148
2149
2150
2151
2152
2153
2154
2155
2156
2157
2158
2159
2160
2161
2162
2163
2164
2165
2166
2167
2168
2169
2170
2171
2172
2173
2174
2175
2176
2177
2178
2179
2180
2181
2182
2183
2184
2185
2186
2187
2188
2189
2190
2191
2192
2193
2194
2195
2196
2197
2198
2199
2200
2201
2202
2203
2204
2205
2206
2207
2208
2209
2210
2211
2212
2213
2214
2215
2216
2217
2218
2219
2220
2221
2222
2223
2224
2225
2226
2227
2228
2229
2230
2231
2232
2233
2234
2235
2236
2237
2238
2239
2240
2241
2242
2243
2244
2245
2246
2247
2248
2249
2250
2251
2252
2253
2254
2255
2256
2257
2258
2259
2260
2261
2262
2263
2264
2265
2266
2267
2268
2269
2270
2271
2272
2273
2274
2275
2276
2277
2278
2279
2280
2281
2282
2283
2284
2285
2286
2287
2288
2289
2290
2291
2292
2293
2294
2295
2296
2297
2298
2299
2300
2301
2302
2303
2304
2305
2306
2307
2308
2309
2310
2311
2312
2313
2314
2315
2316
2317
2318
2319
2320
2321
2322
2323
2324
2325
2326
2327
2328
2329
2330
2331
2332
2333
2334
2335
2336
2337
2338
2339
2340
2341
2342
2343
2344
2345
2346
2347
2348
2349
2350
2351
2352
2353
2354
2355
2356
2357
2358
2359
2360
2361
2362
2363
2364
2365
2366
2367
2368
2369
2370
2371
2372
2373
2374
2375
2376
2377
2378
2379
2380
2381
2382
2383
2384
2385
2386
2387
2388
2389
2390
2391
2392
2393
2394
2395
2396
2397
2398
2399
2400
2401
2402
2403
2404
2405
2406
2407
2408
2409
2410
2411
2412
2413
2414
2415
2416
2417
2418
2419
2420
2421
2422
2423
2424
2425
2426
2427
2428
2429
2430
2431
2432
2433
2434
2435
2436
2437
2438
2439
2440
2441
2442
2443
2444
2445
2446
2447
2448
2449
2450
2451
2452
2453
2454
2455
2456
2457
2458
2459
2460
2461
2462
2463
2464
2465
2466
2467
2468
2469
2470
2471
2472
2473
2474
2475
2476
2477
2478
2479
2480
2481
2482
2483
2484
2485
2486
2487
2488
2489
2490
2491
2492
2493
2494
2495
2496
2497
2498
2499
2500
2501
2502
2503
2504
2505
2506
2507
2508
2509
2510
2511
2512
2513
2514
2515
2516
2517
2518
2519
2520
2521
2522
2523
2524
2525
2526
2527
2528
2529
2530
2531
2532
2533
2534
2535
2536
2537
2538
2539
2540
2541
2542
2543
2544
2545
2546
2547
2548
2549
2550
2551
2552
2553
2554
2555
2556
2557
2558
2559
2560
2561
2562
2563
2564
2565
2566
2567
2568
2569
2570
2571
2572
2573
2574
2575
2576
2577
2578
2579
2580
2581
2582
2583
2584
2585
2586
2587
2588
2589
2590
2591
2592
2593
2594
2595
2596
2597
2598
2599
2600
2601
2602
2603
2604
2605
2606
2607
2608
2609
2610
2611
2612
2613
2614
2615
2616
2617
2618
2619
2620
2621
2622
2623
2624
2625
2626
2627
2628
2629
2630
2631
2632
2633
2634
2635
2636
2637
2638
2639
2640
2641
2642
2643
2644
2645
2646
2647
2648
2649
2650
2651
2652
2653
2654
2655
2656
2657
2658
2659
2660
2661
2662
2663
2664
2665
2666
2667
2668
2669
2670
2671
2672
2673
2674
2675
2676
2677
2678
2679
2680
2681
2682
2683
2684
2685
2686
2687
2688
2689
2690
2691
2692
2693
2694
2695
2696
2697
2698
2699
2700
2701
2702
2703
2704
2705
2706
2707
2708
2709
2710
2711
2712
2713
2714
2715
2716
2717
2718
2719
2720
2721
2722
2723
2724
2725
2726
2727
2728
2729
2730
2731
2732
2733
2734
2735
2736
2737
2738
2739
2740
2741
2742
2743
2744
2745
2746
2747
2748
2749
2750
2751
2752
2753
2754
2755
2756
2757
2758
2759
2760
2761
2762
2763
2764
2765
2766
2767
2768
2769
2770
2771
2772
2773
2774
2775
2776
2777
2778
2779
2780
2781
2782
2783
2784
2785
2786
2787
2788
2789
2790
2791
2792
2793
2794
2795
2796
2797
2798
2799
2800
2801
2802
2803
2804
2805
2806
2807
2808
2809
2810
2811
2812
2813
2814
2815
2816
2817
2818
2819
2820
2821
2822
2823
2824
2825
2826
2827
2828
2829
2830
2831
2832
2833
2834
2835
2836
2837
2838
2839
2840
2841
2842
2843
2844
2845
2846
2847
2848
2849
2850
2851
2852
2853
2854
2855
2856
2857
2858
2859
2860
2861
2862
2863
2864
2865
2866
2867
2868
2869
2870
2871
2872
2873
2874
2875
2876
2877
2878
2879
2880
2881
2882
2883
2884
2885
2886
2887
2888
2889
2890
2891
2892
2893
2894
2895
2896
2897
2898
2899
2900
2901
2902
2903
2904
2905
2906
2907
2908
2909
2910
2911
2912
2913
2914
2915
2916
2917
2918
2919
2920
2921
2922
2923
2924
2925
2926
2927
2928
2929
2930
2931
2932
2933
2934
2935
2936
2937
2938
2939
2940
2941
2942
2943
2944
2945
2946
2947
2948
2949
2950
2951
2952
2953
2954
2955
2956
2957
2958
2959
2960
2961
2962
2963
2964
2965
2966
2967
2968
2969
2970
2971
2972
2973
2974
2975
2976
2977
2978
2979
2980
2981
2982
2983
2984
2985
2986
2987
2988
2989
2990
2991
2992
2993
2994
2995
2996
2997
2998
2999
3000

```

(a) Textual representation



(b) Graphical representation

Fig. 1: Visual notation

We assume that the graphical information is represented by a visual graph in which nodes represent syntactic elements (like rules, conditions, rule invocations) or states, while edges represent bindings between syntactic elements or state transitions. We do not introduce a graphical representation for the signature (functions and domains) and properties, since we believe that they can be already easily understood from the textual model.

In the following sections, we propose a set of procedures that allow to automatically derive a *visual graph* from an ASM model. Sect. 4 introduces procedures that recursively visit the ASM rules and build a *visual tree* representing the syntactical structure of the model. In Sect. 5, we introduce some *visual patterns* that permit to identify recurring graphical schemas, and to obtain a more compact and meaningful representation, possibly capturing some behavioral information. Such representation may be no longer a tree, but a general graph.

The final goal is to have a textual representation together with a graphical visualization as shown in Fig. 1. To be more precise, we have devised two possible usage scenarios of the proposed visual notation.

Visualization – From textual to graphical representation The first usage scenario consists in writing an ASM model in a concrete syntax (AsmetaL) and then derive a graph from it. Such approach can be used when the modeler is familiar with the ASM syntax, but (s)he wants to have a graphical representation of the model for its better understanding and communication. If the ASM model is correct, also the produced graph is correct. In the visualizer, the user can activate some optimizations (presented in the following sections), in order to have different views of the same model: structural (with different levels of optimization), or semantic (behavioral).

Visual editing – From graphical to textual representation The second usage scenario consists in graphically specifying the ASM by drawing the graph. In this way, the modeler can focus on the high level structure of the model, similarly to what is done in code with control flow graphs. Note that the usage of semantic patterns allows the user to also graphically model some evolutions

of the system, which are usually difficult to get by writing textual ASM models (at least without simulating it). Of course, the graph produced by the developer is not complete, as it does not specify the signature; moreover, it could also be not correct. Some trivial syntactical violations can be discovered directly on the graph by checking some consistency rules, but other faults may be more difficult to find. Once the modeler has produced the graph, a **translator** can translate the graph in an AsmetaL textual model. The produced model contains (most of) the transition rules, and the modeler is only required to add the signature (and the initialization). Then, the AsmetaL parser may find some faults that passed undetected during graph validation.

4 Visual Trees

We here introduce the relevant concepts which bring to a graphical representation of an ASM model in terms of a navigable forest of tree structures, i.e., a forest of trees connected among them by navigation links.

Definition 1. *The visual notation for ASMs is given by the bijective function vis_\top between an ASM rule and a visual tree.*

Definition 2. *The function vis_\top is given by Table 1.*

1. *For basic rules (update, skip and macro call) the function simply returns a tree with only one node (the root).*
2. *For compound rules (conditional, block, forall, choose, let), one must apply the schema given in Table 1 and recursively call the function vis_\top on component rules.*

Table 1 describes the semantics of ASM transition rules, and shows the proposed graphical representation and the AsmetaL textual notation. The function vis_\top is only based on the syntactical structure of the ASM and it can be always applied. Tree leaves are always skip, update, or call rules, and they are shown in boxes. Note that a call rule invokes a macro rule that has its own tree that, however, is not part of the main tree. At the end, one can obtain a tree for every rule declaration by applying vis_\top to its definition. The visualization of an ASM is given by the forest compound of all the trees of the declared rules. To navigate this visual view, the entry point is the tree for the main rule and, from every call rule, one can navigate to the tree of the invoked macro rule by a virtual *navigation link*, which is not visualized in the graphical representation. By considering the navigation links in the visualization, the resulting structure is a graph, as a macro rule can be called by different call rules.

Example 1. For explanation purposes, we use the Hemodialysis Machine Case Study [2]. It describes a hemodialysis device which goes through three phases: the *preparation* in which the device is prepared and the patient is connected, the *initiation* in which the hemodialysis is performed (i.e., the patient's blood is cleaned), and the *ending* in which the therapy terminates and the patient is disconnected. We can abstractly describe the device using the ASM model shown

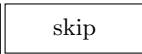
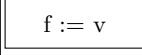
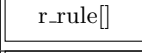
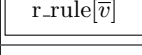
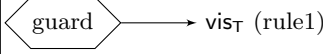
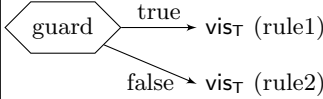
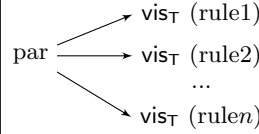
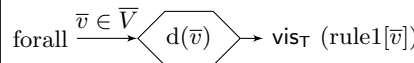
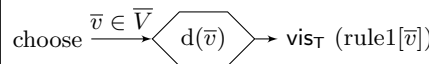
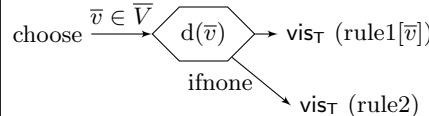
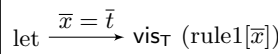
| Rule | Visual tree | AsmetaL notation |
|--|---|--|
| Skip rule do nothing |  | skip |
| Update rule update f to v |  | $f := v$ |
| Macro call rule |  | r_rule[] |
| invoke rule r_rule with arguments \bar{v} (if any) |  | r_rule[\bar{v}] |
| Conditional rule execute $rule1$ if $guard$ holds, otherwise execute $rule2$ (if given) |  | if guard then rule1 endif |
| |  | if guard then rule1 else rule2 endif |
| Block rule execute $rule1 \dots rule_n$ in parallel |  | par rule1 rule2 ... rule_n endpar |
| Forall rule execute $rule1$ with all values $\bar{v} \in \bar{V}$ for which $d(\bar{v})$ holds |  | forall $\bar{v} \in \bar{V}$ with $d(\bar{v})$ do rule1[\bar{v}] |
| Choose rule execute $rule1$ with a $\bar{v} \in \bar{V}$ for which $d(\bar{v})$ holds. If no such \bar{v} exists, execute $rule2$ (if given) |  | choose $\bar{v} \in \bar{V}$ with $d(\bar{v})$ do rule1[\bar{v}] |
| |  | choose $\bar{v} \in \bar{V}$ with $d(\bar{v})$ do rule1[\bar{v}] ifnone rule2 |
| Let rule execute $rule1$ substituting \bar{t} for \bar{x} |  | let ($\bar{x} = \bar{t}$) in rule1[\bar{x}] endlet |

Table 1: vis_T : Mapping from ASM transition rules to visual trees

in Code 1⁵. Using the vis_T function, the model can be represented as shown in Fig. 2. Note that the three macro rules `r_preparation`, `r_initiation`, and `r_ending` have their own tree representations that are not part of the tree generated from the main rule, but are connected to their corresponding call rules by navigation links (here rendered as dashed arrows only for presentation purposes).

⁵ Note that the complete formalization of the case study consists of a sequence of refined models, each one specifying more details of the therapy.

| | |
|---|--|
| <pre>asm Hemodialysis_GM signature: enum domain PhasesTherapy = {PREPARATION INITIATION ENDING} controlled phaseTherapy: PhasesTherapy definitions: macro rule r_preparation = phaseTherapy := INITIATION macro rule r_initiation = phaseTherapy := ENDING macro rule r_ending = skip</pre> | <pre>main rule r_Main = par if phaseTherapy = PREPARATION then r_preparation[] endif if phaseTherapy = INITIATION then r_initiation[] endif if phaseTherapy = ENDING then r_ending[] endif endpar default init s0: function phaseTherapy = PREPARATION</pre> |
|---|--|

Code 1: Hemodialysis case study – AsmetaL model

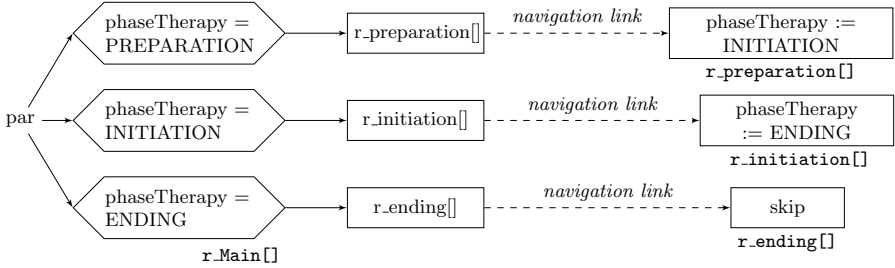


Fig. 2: Hemodialysis case study – Visual trees

5 Visual Patterns

We here introduce the notion of *visual pattern* for ASM visual trees. A pattern is a schema of connected tree nodes that is recurring and conveys a *structural* or *semantic* (i.e., behavioral) information. Therefore, identifying a pattern and substituting the entities belonging to it with a simplified structure is of interest.

5.1 Structural patterns

We identify the following structural pattern that permits to obtain a more compact representation of the model structure.

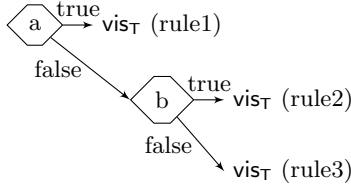
Nested Guards Pattern The pattern regards the use of *nested conditional rules*. Suppose that you have a conditional rule as shown in Fig. 3a. By applying the visual trees in Table 1, one would obtain the tree shown in Fig. 3b. However, one can visualize the rule in a more compact way as shown in Fig. 3c.

The pattern is applicable to any depth of nested conditional rules. One just has to collect all the guards g_1, \dots, g_n , and create only one decision node comprising all the guards separated by commas. The decision node has as many exiting arcs as the number of conditional branches not containing another nested conditional rule, but a different rule $rule_i$; each arc is labeled with the evaluations of the guards that permit to take that particular arc and fire rule $rule_i$. Evaluations of guards that are not relevant for the firing of a rule $rule_i$ are depicted

```

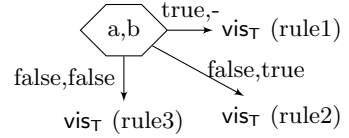
if a then
  rule1
else
  if b then
    rule2
  else
    rule3
  endif
endif

```



(a) Nested conditional rules

(b) Visual tree



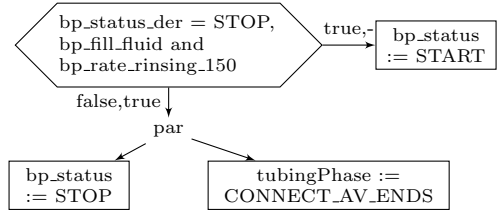
(c) Pattern

Fig. 3: Structural pattern – Nested guards pattern

```

macro rule r_priming =
  if bp_status_der = STOP then
    bp_status := START
  else
    if bp_fill_fluid and bp_rate_rinsing_150 then
      par
        bp_status := STOP
        tubingPhase := CONNECT_AV_ENDS
      endpar
    endif
  endif
endif

```



(a) Nested conditional rules

(b) Pattern

Fig. 4: Hemodialysis case study – Nested guards pattern

with symbol “-”. The decision node has up to $n + 1$ exiting arcs. Note that the pattern does not necessarily produce a tree that is more clear to understand, but it always provides a more compact representation of the nested conditional rules. For this reason, we let the modeler decide if (s)he wants to apply it.

Example 2. Fig. 4b shows the application of the pattern to macro rule `r_priming` (shown in Fig. 4a) of the hemodialysis machine case study.

5.2 Semantic Patterns

Any ASM model can be always represented using visual trees and possibly optimized by applying structural patterns. The resulting tree visualizes the structure of the ASM. However, sometimes it is possible to infer from the model also some hints on the behavior of the machine. For this reason, we introduce *semantic patterns* that can be applied when it is possible to infer from the model some information on the workflow of the machine.

We identify here three semantic patterns: *mutual exclusive guards*, *state*, and *state flow* patterns.

Mutual exclusive guards pattern In case of parallel conditional rules having mutual exclusive guards, it could be useful to represent that the workflow of the machine follows only one of the possible parallel execution paths.

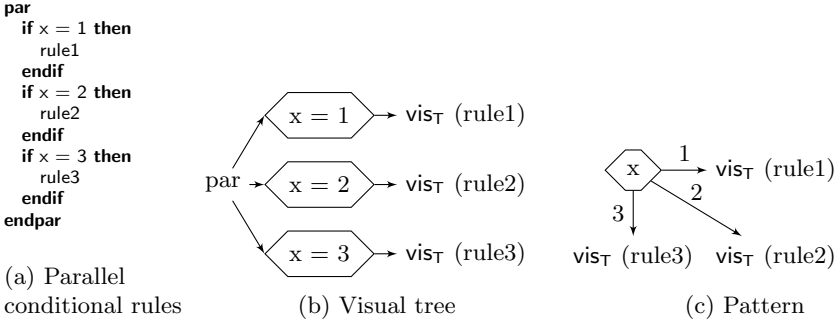


Fig. 5: Semantic pattern – Mutual exclusive guards pattern

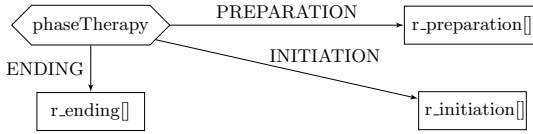


Fig. 6: Hemodialysis case study – Mutual exclusive guards pattern

The *mutual exclusive guards pattern* has been defined for this purpose. It is applicable when the rule guards check the current value of a given location that can assume disjoint values. This guarantees mutual exclusion among the guards of the conditional rules.

Consider, for example, the ASM rule in Fig. 5a. It fires the parallel execution of three conditional rules guarded by the current value of the location x . Applying the visual tree in Table 1 to this rule, we obtain the representation given in Fig. 5b. However, one can understand that the three conditions on x are mutually exclusive and, therefore, visualize the rule in a more compact way as in Fig. 5c, showing that the machine workflow follows only one of the three possible paths⁶.

Example 3. The application of the mutual exclusive guards pattern to the main rule of Code 1 is shown in Fig. 6.

State pattern Often, it could be desirable to represent the machine behavior as a flow of activities along a sequence of states of control, i.e., configurations (or *modes*) in which the machine can be. Therefore, we enrich our visual notation with a special node (an ellipse) representing information about the (control) *state* in which a given rule can be executed.

Suppose the model is as shown in Fig. 7a, where rule_i is a macro call rule that might call (directly or indirectly) the update rule $\text{state} := s_j$. Using only the visual trees defined in Table 1, the rule would be represented by the schema

⁶ Note that the pattern can be detected by a simple static analysis of the model because of the particular guard structure we consider. If we would like to handle any type of guard, detecting the pattern would require to use a logical solver.

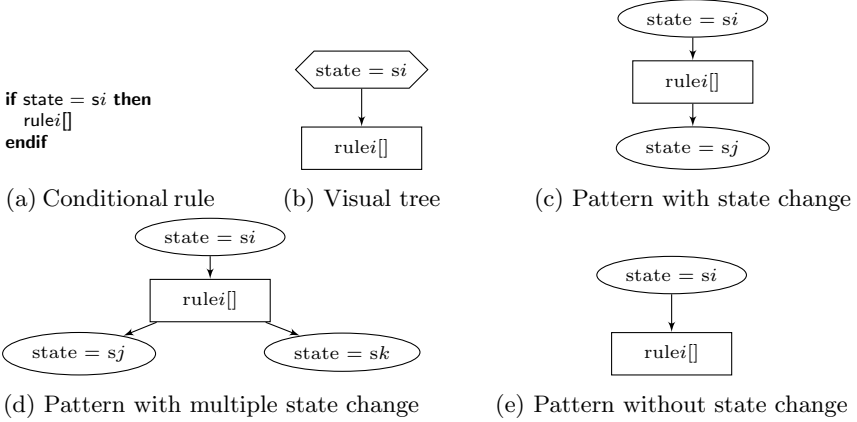


Fig. 7: Semantic pattern – State pattern

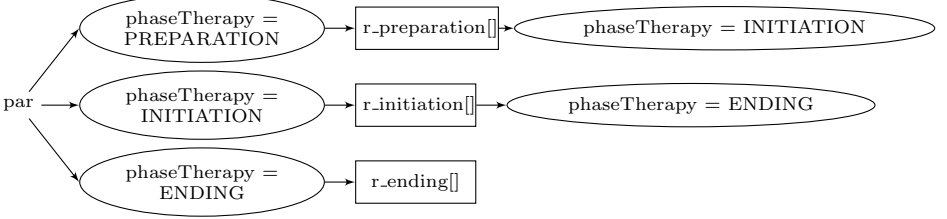


Fig. 8: Hemodialysis case study – State pattern

shown in Fig. 7b. However, supposing the modeler wants to use the function `state` to identify states of control, if `rulei` changes the `state` from `si` to `sj`, one can build the graph as shown in Fig. 7c to explicitly represent the state change. In case `rulei` can bring to different states (e.g., states `sj` and `sk`), the pattern is as shown in Fig. 7d. Instead, if rule `rulei` leaves the mode unchanged, the pattern is as shown in Fig. 7e. Note that rule `rulei` will be represented as a macro call rule, if this is not already the case.

Example 4. The application of the state pattern to the hemodialysis machine case study (see Code 1) is shown in Fig. 8.

State flow pattern The definition of the state pattern can be extended to graphically represent a flow of activities along a sequence of control states. Suppose to have the code reported in Fig. 9a and that `rulei` contains the update rule `state := sj` and `rulej` contains the update rule `state := sk`. By applying the state pattern explained above, one would obtain the visual graph in Fig. 9b. However, the evolution of the system from state `si` to `sj` and then to `sk` can be made explicit, and the graph can be rewritten as in Fig. 9c. Note that if rule `rulej` does not update `state`, the flow ends with `rulej`. Instead, if rule `rulej` updates `state` to `si`, the resulting structure is a graph as shown in Fig. 9d.

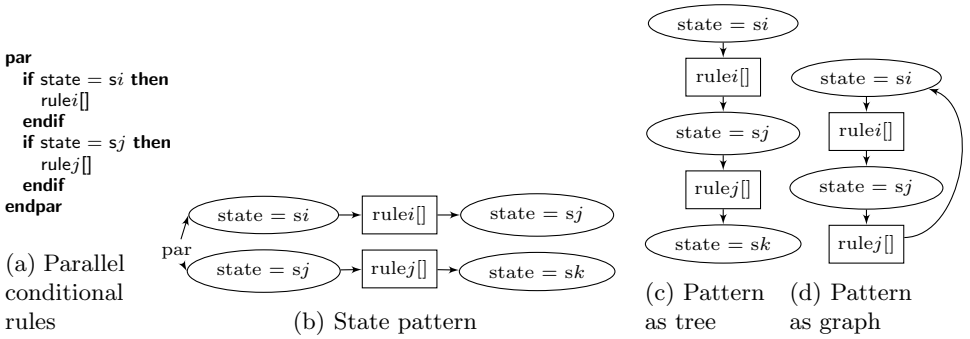


Fig. 9: Semantic pattern – State flow pattern

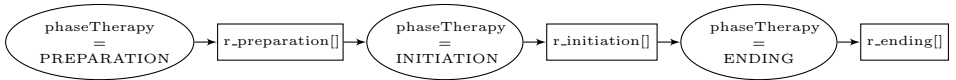


Fig. 10: Hemodialysis case study – State flow pattern

Example 5. The application of the state flow pattern to the hemodialysis machine case study (see Code 1) is shown in Fig. 10.

6 Tool

We have developed a prototypical tool, called **AsmetaVis**, that permits to represent the visual trees and some of the visual patterns we have presented. At the current stage of development, the tool supports the first usage we devised in Sect. 3 for our visual notation, i.e., model *visualization*, that permits to obtain the graphical representation of a specification written in AsmetaL. The tool is currently able to visualize the ASM in two modes:

- *basic visualization* in which the ASM is visualized using only the visual trees presented in Sect. 4;
- *semantic visualization* in which information on the workflow of the model is visualized using two of the semantic patterns introduced in Sect. 5.2.

At the beginning, the tool loads the AsmetaL model and shows the graph of the main rule. A double-click on a macro call rule node causes the visualization of the corresponding macro rule graph; in this way, we provide the navigation links described in Sect. 3.

The tool is integrated in the ASMETA framework as eclipse plugin⁷ and it uses Zest for implementing the visualization features⁸.

Example 6. Fig. 11 shows the basic and the semantic visualizations of the model of the hemodialysis machine case study in **AsmetaVis** (see Code 1). In both cases,

⁷ <http://asmeta.sourceforge.net/download/asmetavis.html>

⁸ <https://www.eclipse.org/gef/zest/>

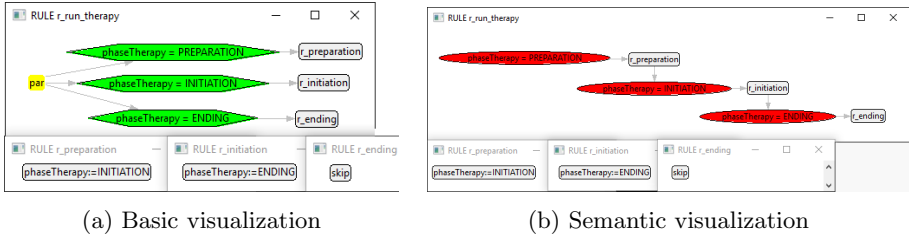


Fig. 11: AsmetaVis tool – Hemodialysis case study

| Group | UQ (% correct answers) | Avg. time (sec) | SQ (% affirmative answers) |
|-----------|------------------------|-----------------|----------------------------|
| Graphical | 92.3 | 135 | 100 |
| Textual | 73.0 | 226 | 7.6 |

Table 2: Experimental results

the main window represents the main rule and the other smaller windows depict the called macro rules.

7 Preliminary evaluation

We conducted a preliminary experiment to evaluate whether the proposed visual notation can help in understanding a model. We interviewed 15 students who attended a course on formal system modeling and verification at the University of Milan (ten lectures on ASMs), and 11 who attended a course on principles of programming languages at the University of Bergamo (six lectures on ASMs). We took the (last refined) textual model of the hemodialysis case study [2], that consists of 163 macro rules and 1880 lines of code. We gave the textual model to half of the students and its graphical representation to the other half. Then we asked them a question in order to evaluate their understanding of the model (UQ: Which are the phases of the hemodialysis treatment and in which order are they executed?). We measured the time taken for answering the question. After this experiment, we gave them also the other representation (the textual one for those having the graphical one, and vice versa) and we asked them to identify the same elements in both representations. Then we asked them a question regarding their satisfaction about the notation they used at the beginning (SQ: Are you satisfied with the notation you used at the beginning?).

Table 2 shows the results of the experiment. By UQ, we observe that the graphical notation permits to understand the model semantics better in less time than the textual notation. Regarding the level of satisfaction (SQ), all the students who used the graphical notation were satisfied and they would not have preferred using the textual one. Instead, only 7.6% of those using the textual notation were satisfied and 92.4% of them said that they would have preferred using the graphical one.

8 Related work

The need of having visualization techniques for easing the work of the modeler is felt in the formal methods community [16,24,9]. Different experiences show that the adoption of such visualization techniques makes the use of formal methods feasible also for non-experts [16], and also helps in teaching formal methods [15].

Different visualization techniques have been proposed.

Some approaches focus on the *visualization of the model*. In [12], graphical notations are used as an alternative representation of Z specifications. They also support a mechanical translation process from Z models to diagrams. They share with us the idea of using visualization in two ways.

A similar approach is proposed for VDM in [8] where the authors propose two kinds of diagrams: Entry-Structure Diagrams (ESD) modeling the system state, and Operation-State Diagrams (OSD) modeling the behavior. OSDs are similar to visual patterns and require the VDM to be in a particular style.

Other approaches, instead, try to provide a visual representation of the model execution (or *model animation*), as in [13,14,17] for the B-method. ProB [13] allows fully automatic animation of B specifications, and can be used, by means of its integrated constraint solver and model checker, to systematically check a specification for a wide range of errors, for deadlock checking, and test-case generation. In [14], B-Motion Studio, a tool that allows to create visualizations for Event-B and B models, is introduced. B-Motion Studio uses two important concepts: Controls and Observers. A control is a graphical representation of some aspects of the model, and labels, images, or buttons are used to represent informations. Observers link controls to the B-model's state and invoke the animator ProB. In [17], Event-B specifications are validated by animation with the Brama tool. The authors propose some heuristics to produce an animatable specification which exhibits the same behavior as the original specification.

We have worked in the past on the visualization of ASM behaviors [10]. In that paper, the animator was built by the user by adding, from a palette, labels (for controlled variables) and input widgets like buttons (for monitored variables). Although very powerful, that approach required a great effort in order to build the animator panel and to connect it to the model. We plan in the future to integrate the animation of behavior in *AsmetaVis*, but we would like to make the process of building animators as automatic as possible.

Our state flow pattern is a conservative generalization of the visualization for *control state machines*, which are an ASMs class with an intuitive (informally defined by examples in [5]) graphical representation in FSM-like diagrams. Our tool automatically provides a correct and precise visualization of those machines.

Other directions of model visualization concern the *use of UML notation as modeling front-end*, due also to the wide use of the UML in industry. This is, for example, the case for UML-B [22], which uses the B notation as an action and constraint language for the UML, and defines the semantics of UML entities via a translation into B. Similarly, in [18], transforming rules are given from UML models to Object-Z constructs; therefore, the semantics of UML models is directly expressed in the formal language Object-Z. The tool OZRose has

been developed to automate the transforming process. Furthermore, in [20], ArchiTRIO is defined as a formal language which complements UML 2.0 concepts with a logic-based notation that allows users to state system properties, both static and dynamic, including real-time constraints.

Combined approaches have also been studied. In [19], for example, an integration of UML-B and Object-Z has been proposed to define a software development process where UML-B is used as visual modeling notation at early conceptual modeling stage, and Object-Z later when requirements are better understood.

Along this trend of combining lightweight graphical notations with formal methods, in [21], a framework has been developed for modeling and executing service-oriented applications. The framework uses the SCA (Service Component Architecture) notation to express the assembly and the architecture of service-oriented components, and the ASMs to rigorously model services behavior, interactions, orchestration, compensation, and context-awareness.

9 Conclusions

With this work we have tried to satisfy a request, felt from long time, to have a way, and a supporting tool, to graphically represent ASM models, from a structural and from a behavioral point of view. We have proposed a graphical notation for ASMs, and we have defined visual patterns that capture, in a concise way, different recurring ASM rule patterns. The representation concerns only the transition rules and not the signature of the model.

As future work, we plan to define visual trees for all the turbo rules, and identify new visual patterns. Regarding the tool, we plan to implement the second usage we devised in Sect. 3 for our visual notation, i.e., the *visual editing* that should allow a modeler to graphically specify the ASM using the visual components (visual trees and visual patterns) we have proposed. Finally, we plan to better evaluate the possible advantages of using the proposed visual notation by means of a controlled experiment.

References

1. P. Arcaini, S. Bonfanti, A. Gargantini, A. Mashkoo, and E. Riccobene. Formal validation and verification of a medical software critical component. In *Proceedings of MEMOCODE 2015*, pages 80–89. IEEE, Sept 2015.
2. P. Arcaini, S. Bonfanti, A. Gargantini, and E. Riccobene. How to assure correctness and safety of medical software: the hemodialysis machine case study. In *Proceedings of ABZ 2016*, volume 9675 of *Lecture Notes in Computer Science*. Springer International Publishing, 2016.
3. P. Arcaini, A. Gargantini, and E. Riccobene. Rigorous development process of a safety-critical system: from ASM models to Java code. *International Journal on Software Tools for Technology Transfer*, pages 1–23, 2015.
4. P. Arcaini, A. Gargantini, E. Riccobene, and P. Scandurra. A model-driven process for engineering a toolset for a formal method. *Software: Practice and Experience*, 41:155–166, 2011.
5. E. Börger. The abstract state machines method for high-level system design and analysis. In *Formal Methods: State of the Art and New Directions*, pages 79–116. Springer London, 2010.

6. E. Börger and R. Stärk. *Abstract State Machines: A Method for High-Level System Design and Analysis*. Springer Verlag, 2003.
7. B. R. Bryant, J. Gray, M. Mernik, P. J. Clarke, R. B. France, and G. Karsai. Challenges and directions in formalizing the semantics of modeling languages. *Computer Science and Information Systems*, 8(2):225–253, 2011.
8. J. Dick and J. Loubersac. Integrating structured and formal methods: A visual approach to VDM. In *Proceedings of the 3rd European Software Engineering Conference, ESEC '91*, pages 37–59, London, UK, UK, 1991. Springer-Verlag.
9. N. Dulac, T. Viguier, N. Leveson, and M.-A. Storey. On the use of visualization in formal requirements specification. In *Requirements Engineering, 2002. Proceedings. IEEE Joint International Conference on*, pages 71–80. IEEE, 2002.
10. A. Gargantini and E. Riccobene. ViBBA: A toolbox for automatic model driven animation. In *Proc. of SIMVIS 2005*, pages 101–114. SCS Publishing House, 2005.
11. A. Gargantini, E. Riccobene, and P. Scandurra. A metamodel-based language and a simulation engine for Abstract State Machines. *J. UCS*, 14(12):1949–1983, 2008.
12. S.-K. Kim and D. Carrington. Visualization of formal specifications. In *Proceedings of APSEC'99*, pages 102–109. IEEE, 1999.
13. L. Ladenberger, J. Bendisposto, and M. Leuschel. Visualising Event-B Models with B-Motion Studio. In *Formal Methods for Industrial Critical Systems*, volume 5825 of *LNCS*, pages 202–204. Springer Berlin Heidelberg, 2009.
14. M. Leuschel, J. Bendisposto, I. Dobrikov, S. Krings, and D. Plagge. *From Animation to Data Validation: The ProB Constraint Solver 10 Years On*, pages 427–446. John Wiley & Sons, Inc., 2014.
15. M. Leuschel, M. Samia, and J. Bendisposto. Easy graphical animation and formula visualisation for teaching B. In *The B Method: From Research to Teaching*, 2008.
16. T. Margaria and V. Braun. Formal methods and customized visualization: A fruitful symbiosis. In *Services and Visualization Towards User-Friendly Design*, volume 1385 of *LNCS*, pages 190–207. Springer Berlin Heidelberg, 1998.
17. A. Mashkoo, J.-P. Jacquot, J. Souquières, et al. Transformation heuristics for formal requirements validation by animation. In *2nd International Workshop on the Certification of Safety-Critical Software Controlled Systems-SafeCert 2009*, 2009.
18. H. Miao, L. Liu, and L. Li. Formalizing UML models with Object-Z. In *Formal Methods and Software Engineering*, volume 2495 of *Lecture Notes in Computer Science*, pages 523–534. Springer Berlin Heidelberg, 2002.
19. M. Najafi and H. Haghghi. An integration of UML-B and Object-Z in software development process. In *Innovations and Advances in Computer, Information, Systems Sciences, and Engineering*, volume 152 of *Lecture Notes in Electrical Engineering*, pages 633–648. Springer New York, 2013.
20. M. Pradella, M. Rossi, and D. Mandrioli. ArchiTRIO: A UML-compatible language for architectural description and its formal semantics. In *Proceedings of FORTE 2005*, pages 381–395. Springer Berlin Heidelberg, 2005.
21. E. Riccobene and P. Scandurra. A formal framework for service modeling and prototyping. *Formal Asp. Comput.*, 26(6):1077–1113, 2014.
22. C. Snook and M. Butler. UML-B: Formal modeling and design aided by UML. *ACM Trans. Softw. Eng. Methodol.*, 15(1):92–122, Jan. 2006.
23. M. Spichkova. Design of formal languages and interfaces: “formal” does not mean “unreadable”. *Emerging Research and Trends in Interactivity and the Human-Computer Interface*, pages 301–314, 2014.
24. M. Spichkova. Human factors of formal methods. *CoRR*, abs/1404.7247, 2014.