

# Data Fragmentation and Encryption

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# Motivation (1)

- The management of large amount of sensitive information is quite expensive
- Database outsourcing is becoming increasingly popular (Database As a Service) [HIM-02, HIML-02]
  - + significant cost savings and service benefits
  - + promises higher availability and more effective disaster protection than in-house operations
  - sensitive data are not under the data owner's control

⇒ sensitive data have to be encrypted or kept separate from other PII

## Motivation (2)

- Encryption proposed in DAS makes query evaluation more expensive or not always possible
- Often what is sensitive is the **association** between values of different attributes, rather than the **values** themselves
  - e.g., association between employee's **names** and **salaries**

⇒ protect associations by **breaking** them, rather than encrypting

# Fragmentation and encryption

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- Recent solutions for enforcing privacy requirements couple:
  - encryption together with
  - data fragmentation
- Privacy requirements are represented as a set of confidentiality constraints that capture sensitivity of attributes and associations

# Confidentiality constraints

- Sets of attributes such that the (joint) visibility of values of the attributes in the sets should be protected
- **Sensitive attributes**: the **values** of some attributes are considered sensitive and should not be visible  
⇒ singleton constraints
- **Sensitive associations**: the **associations** among values of given attributes are sensitive and should not be visible  
⇒ non-singleton constraints

# Outline

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- Data fragmentation
  - Non-communicating pair of servers [ABGGKMSTX-05]
  - Multiple fragments [CDFJPS-07,CDFJPS-10]
  - Departing from encryption: Keep a few [CDFJPS-09]
- Publishing obfuscated associations
  - Anonymizing bipartite graph [CSYZ-08]
  - Fragments and loose associations [DFJPS-10]

# Data Fragmentation

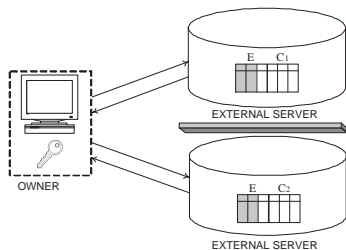
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# Non-Communicating Pair of Servers



# Non-communicating pair of servers

- Confidentiality constraints are enforced by splitting information over **two independent servers that cannot communicate** (need to be completely unaware of each other)
  - Sensitive associations are protected by distributing the involved attributes among the two servers
  - Encryption is applied only when explicitly demanded by the confidentiality constraints or when storing the attribute in any of the server would expose at least a sensitive association



- $E \cup C_1 \cup C_2 = R$

- $C_1 \cup C_2 \subseteq R$

# Enforcing confidentiality constraints

- Confidentiality constraints  $\mathcal{C}$  defined over a relation  $R$  are enforced by decomposing  $R$  as  $\langle R_1, R_2, E \rangle$  where:
  - $R_1$  and  $R_2$  include a unique tuple ID needed to ensure lossless decomposition
  - $R_1 \cup R_2 = R$
  - $E$  is the set of encrypted attributes and  $E \subseteq R_1, E \subseteq R_2$
  - for each  $c \in \mathcal{C}, c \not\subseteq (R_1 - E)$  and  $c \not\subseteq (R_2 - E)$

# Confidentiality constraints – Example (1)

$R = (\text{Name, DoB, Gender, Zip, Position, Salary, Email, Telephone})$

- $\{\text{Telephone}\}, \{\text{Email}\}$ 
  - attributes **Telephone** and **Email** are sensitive (cannot be stored in the clear)
- $\{\text{Name, Salary}\}, \{\text{Name, Position}\}, \{\text{Name, DoB}\}$ 
  - attributes **Salary**, **Position**, and **DoB** are private of an individual and cannot be stored in the clear in association with the name
- $\{\text{DoB, Gender, Zip, Salary}\}, \{\text{DoB, Gender, Zip, Position}\}$ 
  - attributes **DoB**, **Gender**, **Zip** can work as quasi-identifier
- $\{\text{Position, Salary}\}, \{\text{Salary, DoB}\}$ 
  - association rules between **Position** and **Salary** and between **Salary** and **DoB** need to be protected from an adversary

## Enforcing confidentiality constraints – Example (2)

$R = (\text{Name, DoB, Gender, Zipcode, Position, Salary, Email, Telephone})$

{Telephone}

{Email}

{Name, Salary}

{Name, Position}

{Name, DoB}

{DoB, Gender, Zipcode, Salary}

{DoB, Gender, Zipcode, Position}

{Position, Salary}

{Salary, DoB}

$\implies R = (\text{Name, DoB, Gender, Zipcode, Position, Salary, Email, Telephone})$

- $R_1: (\text{ID, Name, Gender, Zipcode, Salary}^e, \text{Email}^e, \text{Telephone}^e)$
- $R_2: (\text{ID, Position, DoB, Salary}^e, \text{Email}^e, \text{Telephone}^e)$

Note that Salary is encrypted even if non sensitive per se since storing it in the clear in any of the two fragments would violate at least a constraint

# Query execution

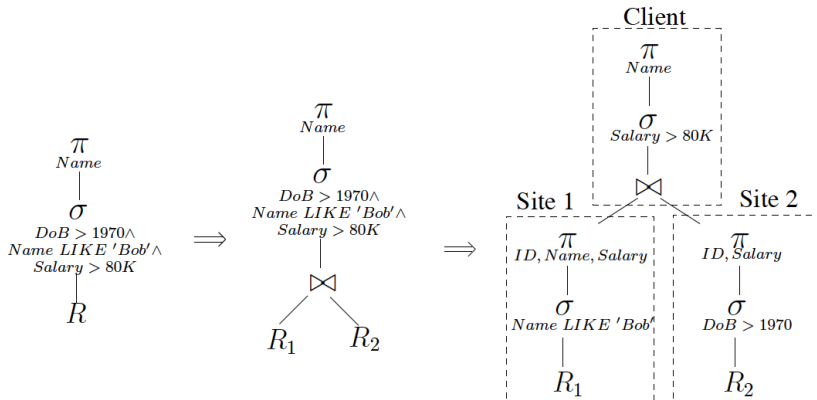
At the logical level: replace  $R$  with  $R_1 \bowtie R_2$

Query plans:

- Fetch  $R_1$  and  $R_2$  from the servers and execute the query locally
  - extremely expensive
- Involve servers  $S_1$  and  $S_2$  in the query evaluation
  - can do the usual optimizations, e.g. push down selections and projections
  - selections cannot be pushed down on encrypted attributes
  - different options for executing queries:
    - send sub-queries to both  $S_1$  and  $S_2$  in parallel, and join the results at the client
    - send only one of the two sub-queries, say to  $S_1$ ; the tuple IDs of the result from  $S_1$  are then used to perform a semi-join with the result of the sub-query of  $S_2$  to filter  $R_2$

# Query execution – Example

- $R_1$ : (ID, Name, Gender, Zipcode, Salary<sup>e</sup>, Email<sup>e</sup>, Telephone<sup>e</sup>)
- $R_2$ : (ID, Position, DoB, Salary<sup>e</sup>, Email<sup>e</sup>, Telephone<sup>e</sup>)



# Identifying the optimal decomposition (1)

Brute force approach for optimizing wrt workload  $W$ :

- For each possible safe decomposition of  $R$ :
  - optimize each query in  $W$  for the decomposition
  - estimate the total cost for executing the queries in  $W$  using the optimized query plans
- Select the decomposition that has the lowest overall query cost

Too expensive!  $\implies$  Exploit [affinity matrix](#)

## Identifying the optimal decomposition (2)

Adapted affinity matrix  $M$ :

- $M_{i,j}$ : 'cost' of placing cleartext attributes  $i$  and  $j$  in different fragments
- $M_{i,i}$ : 'cost' of placing encrypted attribute  $i$  (across both fragments)

Goal: Minimize

$$\sum_{i,j:i \in (R_1 - E), j \in (R_2 - E)} M_{i,j} + \sum_{i \in E} M_{i,i}$$



# Identifying the optimal decomposition (3)

Optimization problem equivalent to hypergraph coloring problem

Given relation  $R$ , define graph  $G(R)$ :

- attributes are vertexes
- affinity value  $M_{i,j} \implies$  weight of arc  $(i,j)$
- affinity value  $M_{i,i} \implies$  weight of vertex  $i$
- confidentiality constraints  $\mathcal{C}$  represent a hypergraph  $H(R, \mathcal{C})$  on the same vertexes

# Identifying the optimal decomposition (4)

Find a 2-coloring of the vertexes such that:

- no hypergraph edge is monochromatic
- the weight of bichromatic edges is minimized
- a vertex can be deleted (i.e., encrypted) by paying the price equal to the vertex weight

Coloring a vertex is equivalent to place it in one of the two fragments.  
The 2-coloring problem is NP-hard.

Different heuristics, all exploiting:

- approximate min-cuts
- approximate weighted set cover

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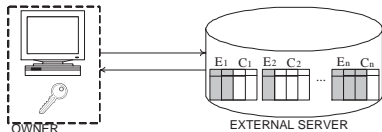
# Multiple Fragments

# Multiple fragments (1)

Coupling fragmentation and encryption interesting and promising, but, limitation to two servers:

- too strong and difficult to enforce in real environments
- limits the number of associations that can be solved by fragmenting data, often forcing the use of encryption

⇒ allow for more than two **non-linkable** fragments



- $E_1 \cup C_1 = \dots = E_n \cup C_n = R$

- $C_1 \cup \dots \cup C_n \subseteq R$

## Multiple fragments (2)

- A **fragmentation** of  $R$  is a set of fragments  $\mathcal{F} = \{F_1, \dots, F_m\}$ , where  $F_i \subseteq R$ , for  $i = 1, \dots, m$
- A fragmentation  $\mathcal{F}$  of  $R$  **correctly enforces** a set  $\mathcal{C}$  of confidentiality constraints iff the following conditions are satisfied:
  - $\forall F \in \mathcal{F}, \forall c \in \mathcal{C} : c \not\subseteq F$  (each individual fragment satisfies the constraints)
  - $\forall F_i, F_j \in \mathcal{F}, i \neq j : F_i \cap F_j = \emptyset$  (fragments do not have attributes in common)

## Multiple fragments (3)

- Each fragment  $F$  is mapped into a **physical fragment** containing:
  - all the attributes in  $F$  in the clear
  - all the other attributes of  $R$  encrypted (a **salt** is applied on each encryption)
- Fragment  $F_i = \{A_{i_1}, \dots, A_{i_n}\}$  of  $R$  mapped to physical fragment  $F_i^e(\underline{\text{salt}}, \text{enc}, A_{i_1}, \dots, A_{i_n})$ :
  - each  $t \in r$  over  $R$  is mapped into a tuple  $t^e \in f_i^e$  where  $f_i^e$  is a relation over  $F_i^e$  and:
    - $t^e[\text{enc}] = E_k(t[R - F_i] \otimes t^e[\text{salt}])$
    - $t^e[A_{i_j}] = t[A_{i_j}]$ , for  $j = 1, \dots, n$

# Multiple fragments – Example (1)

MEDICALDATA

<u>SSN</u>	Name	DoB	Zip	Illness	Physician
123-45-6789	Nancy	65/12/07	94142	hypertension	M. White
987-65-4321	Ned	73/01/05	94141	gastritis	D. Warren
963-85-2741	Nell	86/03/31	94139	flu	M. White
147-85-2369	Nick	90/07/19	94139	asthma	D. Warren

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Name, DoB}\}$

$c_2 = \{\text{Name, Zip}\}$

$c_3 = \{\text{Name, Illness}\}$

$c_4 = \{\text{Name, Physician}\}$

$c_5 = \{\text{DoB, Zip, Illness}\}$

$c_6 = \{\text{DoB, Zip, Physician}\}$

# Multiple fragments – Example (1)

MEDICALDATA

<u>SSN</u>	<u>Name</u>	<u>DoB</u>	<u>Zip</u>	<u>Illness</u>	<u>Physician</u>
123-45-6789	Nancy	65/12/07	94142	hypertension	M. White
987-65-4321	Ned	73/01/05	94141	gastritis	D. Warren
963-85-2741	Nell	86/03/31	94139	flu	M. White
147-85-2369	Nick	90/07/19	94139	asthma	D. Warren

$C_0 = \{\text{SSN}\}$

$C_1 = \{\text{Name, DoB}\}$

$C_2 = \{\text{Name, Zip}\}$

$C_3 = \{\text{Name, Illness}\}$

$C_4 = \{\text{Name, Physician}\}$

$C_5 = \{\text{DoB, Zip, Illness}\}$

$C_6 = \{\text{DoB, Zip, Physician}\}$

$F_1$

<u>salt</u>	<u>enc</u>	<u>Name</u>
$s_1$	$\alpha$	Nancy
$s_2$	$\beta$	Ned
$s_3$	$\gamma$	Nell
$s_4$	$\delta$	Nick

$F_2$

<u>salt</u>	<u>enc</u>	<u>DoB</u>	<u>Zip</u>
$s_5$	$\epsilon$	65/12/07	94142
$s_6$	$\zeta$	73/01/05	94141
$s_7$	$\eta$	86/03/31	94139
$s_8$	$\theta$	90/07/19	94139

$F_3$

<u>salt</u>	<u>enc</u>	<u>Illness</u>	<u>Physician</u>
$s_9$	$\iota$	hypertension	M. White
$s_{10}$	$\kappa$	gastritis	D. Warren
$s_{11}$	$\lambda$	flu	M. White
$s_{12}$	$\mu$	asthma	D. Warren



# Executing queries on fragments

- Every physical fragment of  $R$  contains all the attributes of  $R$   
⇒ no more than one fragment needs to be accessed to respond to a query
- If the query involves an encrypted attribute, an additional query may need to be executed by the client

## Original query on $R$

```
Q :=SELECT SSN, Name
      FROM MedicalData
      WHERE (Illness='gastritis' OR
            Illness='asthma') AND
            Physician='D. Warren'
            AND
            Zip='94141'
```

## Translation over fragment $F_3^e$

```
Q3 :=SELECT salt, enc
      FROM F3e
      WHERE (Illness='gastritis' OR
            Illness='asthma') AND
            Physician='D. Warren'
```

```
Q' := SELECT SSN, Name
      FROM Decrypt(Q3, Key)
      WHERE Zip='94141'
```

# Optimization criteria

- **Goal:** find a fragmentation that makes query execution efficient
- The fragmentation process can then take into consideration different optimization criteria:
  - number of fragments [ESORICS'07]
  - affinity among attributes [ACM TISSEC'10]
  - query workload [ICDCS'09]
- All criteria obey maximal visibility
  - only attributes that appear in singleton constraints (sensitive attributes) are encrypted
  - all attributes that are not sensitive appear in the clear in one fragment

# Minimal number of fragments

Basic principles:

- avoid excessive fragmentation  $\implies$  minimal number of fragments

Goal:

- determine a correct fragmentation with the minimal number of fragments  
 $\implies$  NP-hard problem (minimum hyper-graph coloring problem)

Basic idea of the heuristic:

- define a notion of minimality that can be used for efficiently computing a fragmentation
  - $\mathcal{F}$  is **minimal** if all the fragmentations that can be obtained from  $\mathcal{F}$  by merging any two fragments in  $\mathcal{F}$  violate at least one constraint
- iteratively select an attribute with the highest number of non-solved constraints and insert it in an existing fragment if no constraint is violated; create a new fragment otherwise

# Minimal number of fragments – Example

MEDICALDATA

SSN	Name	DoB	Zip	Illness	Physician
123-45-6789	Nancy	65/12/07	94142	hypertension	M. White
987-65-4321	Ned	73/01/05	94141	gastritis	D. Warren
963-85-2741	Nell	86/03/31	94139	flu	M. White
147-85-2369	Nick	90/07/19	94139	asthma	D. Warren

Confidentiality constraints

$C_0 = \{\text{SSN}\}$

$C_1 = \{\text{Name, DoB}\}$

$C_2 = \{\text{Name, Zip}\}$

$C_3 = \{\text{Name, Illness}\}$

$C_4 = \{\text{Name, Physician}\}$

$C_5 = \{\text{DoB, Zip, Illness}\}$

$C_6 = \{\text{DoB, Zip, Physician}\}$

Minimal fragmentation  $\mathcal{F}$

- $F_1 = \{\text{Name}\}$
- $F_2 = \{\text{DoB, Zip}\}$
- $F_3 = \{\text{Illness, Physician}\}$

Merging any two fragments would violate at least a constraint

# Maximum affinity

## Basic principles:

- preserve the associations among some attributes
  - e.g., association (Illness,DoB) should be preserved to explore the link between a specific illness and the age of patients
- **affinity matrix** for representing the advantage of having pairs of attributes in the same fragment

## Goal:

- determine a correct fragmentation with **maximum affinity** (sum of **fragments affinity** computed as the sum of the affinity of the different pairs of attributes in the fragment)  
⇒ NP-hard problem (minimum hitting set problem)

## Basic idea of the heuristic:

- iteratively combine fragments that have the highest affinity and do not violate any confidentiality constraint

# Maximum affinity – Example

MEDICALDATA

SSN	Name	DoB	ZIP	Illness	Physician
123-45-6789	A. Hellman	81/01/03	94142	hypertension	M. White
987-65-4321	B. Dooley	53/10/07	94141	obesity	D. Warren
246-89-1357	C. McKinley	52/02/12	94139	hypertension	M. White
135-79-2468	D. Ripley	81/01/03	94139	obesity	D. Warren

Confidentiality constraints

$C_0 = \{\text{SSN}\}$

$C_1 = \{\text{Name, DoB}\}$

$C_2 = \{\text{Name, ZIP}\}$

$C_3 = \{\text{Name, Illness}\}$

$C_4 = \{\text{Name, Physician}\}$

$C_5 = \{\text{DoB, ZIP, Illness}\}$

$C_6 = \{\text{DoB, ZIP, Physician}\}$

	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$
$F_1 = \{n\}$	$F_1$	10	5	25	15
$F_2 = \{d\}$	$F_2$		5	20	30
$F_3 = \{z\}$	$F_3$			10	5
$F_4 = \{i\}$	$F_4$				15
$F_5 = \{p\}$	$F_5$				

	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$
$n$	×	×	×	×		
$d$	×				×	×
$z$		×			×	×
$i$			×		×	
$p$				×		×

# Maximum affinity – Example

MEDICALDATA

SSN	Name	DoB	ZIP	Illness	Physician
123-45-6789	A. Hellman	81/01/03	94142	hypertension	M. White
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Confidentiality constraints

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$c_2 = \{\text{Name, ZIP}\}$

$c_3 = \{\text{Name, Illness}\}$

$c_4 = \{\text{Name, Physician}\}$

$c_5 = \{\text{DoB, ZIP, Illness}\}$

$c_6 = \{\text{DoB, ZIP, Physician}\}$

	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$
$F_1 = \{n\}$	$F_1$	-1	-1	-1	-1
$F_2 = \{d\}$	$F_2$		5	20	30
$F_3 = \{z\}$	$F_3$			10	5
$F_4 = \{i\}$	$F_4$				15
$F_5 = \{p\}$	$F_5$				

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$n$	✓	✓	✓	✓		
$d$	✓				×	×
$z$		✓			×	×
$i$			✓		×	
$p$				✓		×

# Maximum affinity – Example

MEDICALDATA

SSN	Name	DoB	ZIP	Illness	Physician
123-45-6789	A. Hellman	81/01/03	94142	hypertension	M. White
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$c_4 = \{\text{Name, Physician}\}$

$c_5 = \{\text{DoB, ZIP, Illness}\}$

$c_6 = \{\text{DoB, ZIP, Physician}\}$

	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$
$F_1 = \{n\}$	$F_1$	-1	-1	-1	-1
$F_2 = \{d\}$	$F_2$		5	20	<b>30</b>
$F_3 = \{z\}$	$F_3$			10	5
$F_4 = \{i\}$	$F_4$				15
$F_5 = \{p\}$	$F_5$				

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$n$	✓	✓	✓	✓		
$d$	✓				×	×
$z$		✓			×	×
$i$			✓		×	
$p$				✓		×



# Maximum affinity – Example

MEDICALDATA

SSN	Name	DoB	ZIP	Illness	Physician
123-45-6789	A. Hellman	81/01/03	94142	hypertension	M. White
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$c_3 = \{\text{Name, Illness}\}$

$c_4 = \{\text{Name, Physician}\}$

$c_5 = \{\text{DoB, ZIP, Illness}\}$

$c_6 = \{\text{DoB, ZIP, Physician}\}$

	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$
$F_1 = \{n\}$	$F_1$	-1	-1	-1	35
$F_2 = \{d, p\}$	$F_2$		-1	35	10
$F_3 = \{z\}$	$F_3$			10	10
$F_4 = \{i\}$	$F_4$				10
	$F_5$				10

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$n$	✓	✓	✓	✓		
$d$	✓				×	✓
$z$		✓			×	✓
$i$			✓		×	
$p$				✓		✓

# Maximum affinity – Example

MEDICALDATA

SSN	Name	DoB	ZIP	Illness	Physician
123-45-6789	A. Hellman	81/01/03	94142	hypertension	M. White
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$c_3 = \{\text{Name, Illness}\}$

$c_4 = \{\text{Name, Physician}\}$

$c_5 = \{\text{DoB, ZIP, Illness}\}$

$c_6 = \{\text{DoB, ZIP, Physician}\}$

	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$
$F_1 = \{n\}$	$F_1$	-1	-1		
$F_2 = \{d, p, i\}$	$F_2$		-1		
$F_3 = \{z\}$	$F_3$				
	$F_4$				
	$F_5$				

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$n$	✓	✓	✓	✓		
$d$	✓				✓	✓
$z$		✓			✓	✓
$i$			✓		✓	
$p$				✓		✓

# Maximum affinity – Example

MEDICALDATA

SSN	Name	DoB	ZIP	Illness	Physician
123-45-6789	A. Hellman	81/01/03	94142	hypertension	M. White
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Confidentiality constraints

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$c_3 = \{\text{Name, Illness}\}$

$c_4 = \{\text{Name, Physician}\}$

$c_5 = \{\text{DoB, ZIP, Illness}\}$

$c_6 = \{\text{DoB, ZIP, Physician}\}$

	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	
$F_1 = \{n\}$	$F_1$	-1	-1			$n$	✓	✓	✓	✓		
$F_2 = \{d, p, i\}$	$F_2$		-1			$d$	✓				✓	✓
$F_3 = \{z\}$	$F_3$					$z$		✓			✓	✓
	$F_4$					$i$			✓		✓	
	$F_5$					$p$				✓		✓

Maximum affinity fragmentation  $\mathcal{F}$  (fragmentation affinity = 65)

Merging any two fragments would violate at least a constraint

# Query workload

## Basic principles:

- minimize the execution cost of queries
- representative queries (**query workload**) used as starting point
- **query cost model**: based on the selectivity of the conditions in queries and queries' frequencies

## Goal:

- determine a fragmentation that minimizes the query workload cost  
⇒ NP-hard problem (minimum hitting set problem)

## Basic idea of the heuristic:

- exploit monotonicity of the query cost function with respect to a dominance relationship among fragmentations
- traversal (checking  $ps$  solutions at levels multiple of  $d$ ) over a spanning tree of the fragmentation lattice

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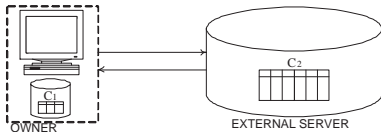
# Departing from Encryption: Keep a Few

# Keep a few

Basic idea:

- encryption makes query execution more expensive and not always possible
- encryption brings overhead of key management

⇒ Depart from encryption by involving the owner as a trusted party to maintain a limited amount of data



•  $C_1 \cup C_2 = R$

# Fragmentation

Given:

- $R(A_1, \dots, A_n)$ : relation schema
- $\mathcal{C} = \{c_1, \dots, c_m\}$ : confidentiality constraints over  $R$

Determine a fragmentation  $\mathcal{F} = \langle F_o, F_s \rangle$  for  $R$ , where  $F_o$  is stored at the owner and  $F_s$  is stored at a storage server, and

- $F_o \cup F_s = R$  (completeness)
- $\forall c \in \mathcal{C}, c \not\subseteq F_s$  (confidentiality)
- $F_o \cap F_s = \emptyset$  (non-redundancy)      /\* can be relaxed \*/

At the physical level  $F_o$  and  $F_s$  have a common attribute (additional **tid** or non-sensitive key attribute) to guarantee lossless join

# Fragmentation – Example

PATIENT

SSN	Name	DoB	Race	Job	Illness	Treatment	HDate
123-45-6789	Nancy	65/12/07	white	waiter	hypertension	ace	09/01/02
987-65-4321	Ned	73/01/05	black	nurse	gastritis	antibiotics	09/01/06
963-85-2741	Nell	86/03/31	red	banker	flu	aspirin	09/01/08
147-85-2369	Nick	90/07/19	asian	waiter	asthma	anti-inflammatory	09/01/10

$C_0 = \{\text{SSN}\}$

$C_1 = \{\text{Name, Illness}\}$

$C_2 = \{\text{Name, Treatment}\}$

$C_3 = \{\text{DoB, Race, Illness}\}$

$C_4 = \{\text{DoB, Race, Treatment}\}$

$C_5 = \{\text{Job, Illness}\}$

$F_o$

tid	SSN	Illness	Treatment
1	123-45-6789	hypertension	ace
2	987-65-4321	gastritis	antibiotics
3	963-85-2741	flu	aspirin
4	147-85-2369	asthma	anti-inflammatory

$F_s$

tid	Name	DoB	Race	Job	HDate
1	Nancy	65/12/07	white	waiter	09/01/02
2	Ned	73/01/05	black	nurse	09/01/06
3	Nell	86/03/31	red	banker	09/01/08
4	Nick	90/07/19	asian	waiter	09/01/10



# Query evaluation

- Queries are formulated on  $R$ , therefore need to be translated into equivalent queries on  $F_o$  and/or  $F_s$
- Queries of the form: SELECT  $A$  FROM  $R$  WHERE  $C$   
where  $C$  is a conjunction of basic conditions
  - $C_o$ : conditions that involve only attributes stored at the client
  - $C_s$ : conditions that involve only attributes stored at the sever
  - $C_{so}$ : conditions that involve attributes stored at the client and attributes stored at the server

# Query evaluation – Example

- $F_o = \{\text{SSN, Illness, Treatment}\}$ ,  $F_s = \{\text{Name, DoB, Race, Job, HDate}\}$
- $q =$ 

```
SELECT SSN, DoB
FROM Patient
WHERE (Treatment="antibiotic")
      AND (Job="nurse")
      AND (Name=Illness)
```
- The conditions in the WHERE clause are split as follows
  - $C_o = \{\text{Treatment} = \text{"antibiotic"}\}$
  - $C_s = \{\text{Job} = \text{"nurse"}\}$
  - $C_{so} = \{\text{Name} = \text{Illness}\}$

# Query evaluation strategies

## Server-Client strategy

- server: evaluate  $C_s$  and return result to client
- client: receive result from server and join it with  $F_o$
- client: evaluate  $C_o$  and  $C_{so}$  on the joined relation

## Client-Server strategy

- client: evaluate  $C_o$  and send tid of tuples in result to server
- server: join input with  $F_s$ , evaluate  $C_s$ , and return result to client
- client: join result from server with  $F_o$  and evaluate  $C_{so}$

# Server-client strategy – Example

```
q = SELECT SSN, DoB
      FROM Patient
      WHERE (Treatment = "antibiotic")
            AND (Job = "nurse")
            AND (Name = Illness)
```

$C_o = \{\text{Treatment} = \text{"antibiotic"}\}$

$C_s = \{\text{Job} = \text{"nurse"}\}$

$C_{so} = \{\text{Name} = \text{Illness}\}$

```
q_s = SELECT tid, Name, DoB
       FROM F_s
       WHERE Job = "nurse"
```

```
q_{so} = SELECT SSN, DoB
         FROM F_o JOIN r_s
              ON F_o.tid=r_s.tid
         WHERE (Treatment = "antibiotic") AND (Name = Illness)
```

# Client-server strategy – Example

$q = \text{SELECT SSN, DoB}$   
FROM Patient  
WHERE (Treatment = “antibiotic”)  
AND (Job = “nurse”)  
AND (Name = Illness)

$C_o = \{\text{Treatment} = \text{“antibiotic”}\}$

$C_s = \{\text{Job} = \text{“nurse”}\}$

$C_{so} = \{\text{Name} = \text{Illness}\}$

$q_o = \text{SELECT tid}$   
FROM  $F_o$   
WHERE Treatment = “antibiotic”

$q_s = \text{SELECT tid, Name, DoB}$   
FROM  $F_s$  JOIN  $r_o$  ON  $F_s.tid=r_o.tid$   
WHERE Job = “nurse”

$q_{so} = \text{SELECT SSN, DoB}$   
FROM  $F_o$  JOIN  $r_s$  ON  $F_o.tid=r_s.tid$   
WHERE Name = Illness

# Server-client vs client-server strategies

- If the storage server **knows or can infer** the query
  - Client-Server **leaks** information: the server infers that some tuples are associated with values that satisfy  $C_o$
- If the storage server **does not know and cannot infer** the query
  - Server-Client and Client-Server strategies can be adopted without privacy violations
  - possible strategy based on performances: evaluate **most selective** conditions first

# Minimal fragmentation

- The goal is to minimize the owner's workload due to the management of  $F_o$
- Weight function  $w$  takes a pair  $\langle F_o, F_s \rangle$  as input and returns the owner's workload (i.e., storage and/or computational load)
- A fragmentation  $\mathcal{F} = \langle F_o, F_s \rangle$  is minimal iff:
  1.  $\mathcal{F}$  is correct (i.e., it satisfies the completeness, confidentiality, and non-redundancy properties)
  2.  $\nexists \mathcal{F}'$  such that  $w(\mathcal{F}') < w(\mathcal{F})$  and  $\mathcal{F}'$  is correct

# Fragmentation metrics

Different metrics could be applied splitting the attributes between  $F_o$  and  $F_s$ , such as minimizing:

- storage
  - number of attributes in  $F_o$  (*Min-Attr*)
  - size of attributes in  $F_o$  (*Min-Size*)
- computation/traffic
  - number of queries in which the owner needs to be involved (*Min-Query*)
  - number of conditions within queries in which the owner needs to be involved (*Min-Cond*)

The metrics to be applied may depend on the information available



# Data and workload information – Example

PATIENT(SSN,Name,DoB,Race,Job,Illness,Treatment,HDate)

$A$	$size(A)$
SSN	9
Name	20
DoB	8
Race	5
Job	18
Illness	15
Treatment	40
HDate	8

$q$	$freq(q)$	$Attr(q)$	$Cond(q)$
$q_1$	5	DoB, Illness	$\langle DoB \rangle, \langle Illness \rangle$
$q_2$	4	Race, Illness	$\langle Race \rangle, \langle Illness \rangle$
$q_3$	10	Job, Illness	$\langle Job \rangle, \langle Illness \rangle$
$q_4$	1	Illness, Treatment	$\langle Illness \rangle, \langle Treatment \rangle$
$q_5$	7	Illness	$\langle Illness \rangle$
$q_6$	7	DoB, HDate, Treatment	$\langle DoB, HDate \rangle, \langle Treatment \rangle$
$q_7$	1	SSN, Name	$\langle SSN \rangle, \langle Name \rangle$

# Weight metrics and minimization problems (1)

- **Min-Attr.** Only the relation schema (set of attributes) and the confidentiality constraints are known  
⇒ minimize the number of the attributes in  $F_o$ 
  - $w_a(\mathcal{F}) = \text{card}(F_o)$
- **Min-Size.** The relation schema (set of attributes), the confidentiality constraints, and the size of each attribute are known  
⇒ minimize the physical size of  $F_o$ 
  - $w_s(\mathcal{F}) = \sum_{A \in F_o} \text{size}(A)$

## Weight metrics and minimization problems (2)

- **Min-Query.** The relation schema (set of attributes), the confidentiality constraints, and a representative profile of the expected query workload are known

Query workload profile:

$$\mathcal{Q} = \{(q_1, \text{freq}(q_1), \text{Attr}(q_1)), \dots, (q_l, \text{freq}(q_l), \text{Attr}(q_l))\}$$

- $q_1, \dots, q_l$  queries to be executed
- $\text{freq}(q_i)$  expected execution frequency of  $q_i$
- $\text{Attr}(q_i)$  attributes appearing in the WHERE clause of  $q_i$

⇒ minimize the number of query executions that require processing at the owner

- $w_q(\mathcal{F}) = \sum_{q \in \mathcal{Q}} \text{freq}(q) \text{ s.t. } \text{Attr}(q) \cap F_o \neq \emptyset$

# Weight metrics and minimization problems (3)

- **Min-Cond.** The relation schema (set of attributes), the confidentiality constraints, and a **complete profile** (conditions in each query of the form  $a_i \text{ op } v$  or  $a_i \text{ op } a_j$ ) of the expected query workload are known

Query workload profile:

$$\mathcal{Q} = \{(q_1, \text{freq}(q_1), \text{Cond}(q_1)), \dots, (q_l, \text{freq}(q_l), \text{Cond}(q_l))\}$$

- $q_1, \dots, q_l$  queries to be executed
- $\text{freq}(q_i)$  expected execution frequency of  $q_i$
- $\text{Cond}(q_i)$  set of conditions in the WHERE clause of query  $q_i$ ; each condition is represented as a single attribute or a pair of attributes

⇒ minimize the number of conditions that require processing at the owner

- $w_c(\mathcal{F}) = \sum_{\text{cnd} \in \text{Cond}(\mathcal{Q})} \text{freq}(\text{cnd})$  s.t.  $\text{cnd} \cap F_o \neq \emptyset$ , where  $\text{Cond}(\mathcal{Q})$  denotes the set of all conditions of queries in  $\mathcal{Q}$ , and  $\text{freq}(\text{cnd})$  is the overall frequency of  $\text{cnd}$

# Modeling of the minimization problems (1)

- All the problems of minimizing storage or computation/traffic aim at identifying a **hitting set**
  - $F_o$  must contain at least an attribute for each constraint
- Different metrics correspond to different criteria according to which the hitting set should be minimized
- We represent all criteria with a uniform model based on:
  - **target set**: elements (i.e., attributes, queries, or conditions) with respect to which the minimization problem is defined
  - **weight function**: function that associates a weight with each target element
  - **weight of a set of attributes**: sum of the weights of the targets intersecting with the set

⇒ compute the hitting set of attributes with minimum weight

## Modeling of the minimization problems (2)

Problem	Target $\mathcal{T}$	$w(t) \forall t \in \mathcal{T}$
Min-Attr	$\{\{A\}   A \in R\}$	1
Min-Size	$\{\{A\}   A \in R\}$	$size(A)$ s.t. $\{A\}=t$
Min-Query	$\{attr   \exists q \in \mathcal{Q}, Attr(q)=attr\}$	$\sum_{q \in \mathcal{Q}} freq(q)$ s.t. $Attr(q)=t$
Min-Cond	$\{cnd   \exists q \in \mathcal{Q}, cnd \in Cond(q)\}$	$freq(cnd)$ s.t. $cnd=t$

**Weighted Minimum Target Hitting Set Problem (WMT HSP).** Given a finite set  $A$ , a set  $C$  of subsets of  $A$ , a set  $\mathcal{T}$  (target) of subsets of  $A$ , and a weight function  $w: \mathcal{T} \rightarrow \mathbb{R}^+$ , determine a subset  $S$  of  $A$  such that:

1.  $S$  is a hitting set of  $A$
2.  $\nexists S'$  such that  $S'$  is a hitting set of  $A$  and  $\sum_{t \in \mathcal{T}, t \cap S' \neq \emptyset} w(t) < \sum_{t \in \mathcal{T}, t \cap S \neq \emptyset} w(t)$

# Modeling of the minimization problems (3)

- The Minimum Hitting Set Problem can be reduced to the WMTTHSP
  - $\mathcal{T} = \{A_1, \dots, A_n\}; w(\{A_i\}) = 1, i = 1, \dots, n$
  - minimizing  $\sum_{t \in \mathcal{T}, t \cap S \neq \emptyset} w(t)$  is equivalent to minimizing the cardinality of the hitting set  $S$

⇒ WMTTHSP is NP-hard
- We propose a heuristic algorithm for solving the WMTTHSP that:
  - ensures **minimality**, that is, moving any attribute from  $F_o$  to  $F_s$  violates at least a constraint
  - has **polynomial** time complexity in the number of attributes (efficient execution time)
  - provides solutions close to the optimum (from experiments run: optimum was returned in many cases, 14% maximum error observed)

# Heuristic algorithm – Input and output

- Input

- $\mathcal{A}$ : set of attributes not appearing in singleton constraints
- $\mathcal{C}$ : set of well defined constraints
- $\mathcal{T}$ : set of targets
- $w$ : weight function defined on  $\mathcal{T}$

- Output

- $\mathcal{H}$ : set of attributes composing, together with those appearing in singleton constraints,  $F_o$
- $F_s$  is computed as  $R \setminus F_o$ , obtaining a correct fragmentation



# Heuristic algorithm – Data structure

- Priority-queue  $PQ$  with an element  $E$  for each attribute:
  - $E.A$ : attribute
  - $E.C$ : pointers to non-satisfied constraints that contain  $E.A$
  - $E.T$ : pointers to the targets non intersecting  $\mathcal{H}$  that contain  $E.A$
  - $E.n_c$ : number of constraints pointed by  $E.C$
  - $E.w$ : total weight of targets pointed by  $E.T$

Priority dictated by  $E.w/E.n_c$ : elements with lower ratio have higher priority

# Heuristic algorithm – Example of initialization (1)

PATIENT(SSN,Name,DoB,Race,Job,Illness,Treatment,HDate)

## Confidentiality constraints

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Name, Illness}\}$

$c_2 = \{\text{Name, Treatment}\}$

$c_3 = \{\text{DoB, Race, Illness}\}$

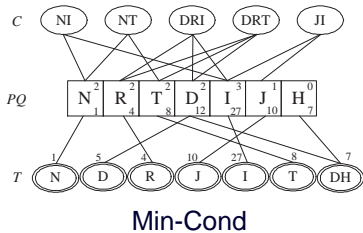
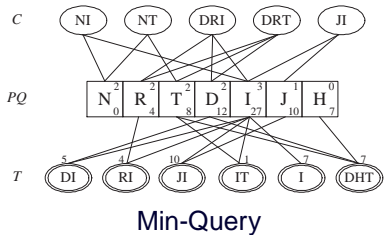
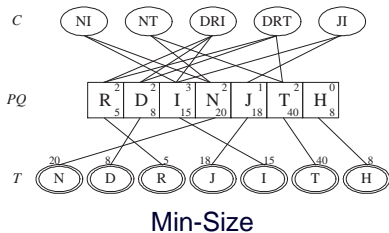
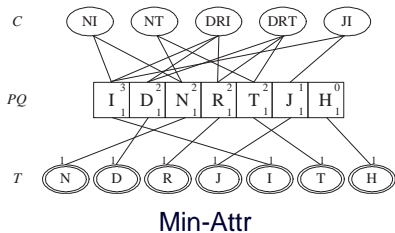
$c_4 = \{\text{DoB, Race, Treatment}\}$

$c_5 = \{\text{Job, Illness}\}$

$A$	$size(A)$
SSN	9
Name	20
DoB	8
Race	5
Job	18
Illness	15
Treatment	40
HDate	8

$q$	$freq(q)$	$Attr(q)$	$Cond(q)$
$q_1$	5	DoB, Illness	$\langle \text{DoB} \rangle, \langle \text{Illness} \rangle$
$q_2$	4	Race, Illness	$\langle \text{Race} \rangle, \langle \text{Illness} \rangle$
$q_3$	10	Job, Illness	$\langle \text{Job} \rangle, \langle \text{Illness} \rangle$
$q_4$	1	Illness, Treatment	$\langle \text{Illness} \rangle, \langle \text{Treatment} \rangle$
$q_5$	7	Illness	$\langle \text{Illness} \rangle$
$q_6$	7	DoB, HDate, Treatment	$\langle \text{DoB, HDate} \rangle, \langle \text{Treatment} \rangle$
$q_7$	1	SSN, Name	$\langle \text{SSN} \rangle, \langle \text{Name} \rangle$

# Heuristic algorithm – Example of initialization (2)



# Heuristic algorithm – Working process

- **while**  $PQ \neq \emptyset$  and  $\exists E \in PQ, E.n_c \neq 0$ 
  - extract the element  $E$  with lowest  $E.w/E.n_c$  from  $PQ$
  - insert  $E.A$  into  $\mathcal{H}$
  - $\forall c$  pointed by  $E.C$ , remove the pointers to  $c$  from any element  $E'$  in  $PQ$  and update  $E'.n_c$
  - $\forall t$  pointed by  $E.T$ , remove the pointers to  $t$  from any element  $E'$  in  $PQ$  and update  $E'.w$
  - readjust  $PQ$  based on the new values for  $E.w/E.n_c$  (*to\_be\_updated*)
- **for each**  $A \in \mathcal{H}$ 
  - if  $\mathcal{H} \setminus \{A\}$  is a hitting set for  $\mathcal{C}$ , remove  $A$  from  $\mathcal{H}$

# Heuristic algorithm – Example of Min-Query

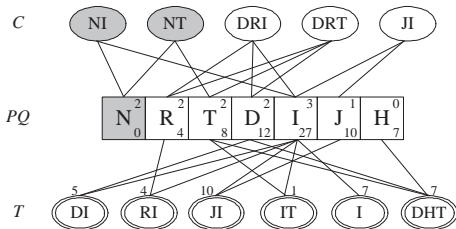
$\mathcal{H} = \{\}$

$E.A = N$

$E.C = \{NI, NT\}$

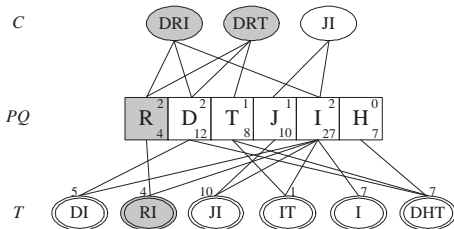
$E.T = \{\}$

$to\_be\_updated = \{I, T\}$



# Heuristic algorithm – Example of Min-Query

$\mathcal{H} = \{N\}$   
 $E.A = R$   
 $E.C = \{DRI, DRT\}$   
 $E.T = \{RI\}$   
 $to\_be\_updated = \{D, I, T\}$



# Heuristic algorithm – Example of Min-Query

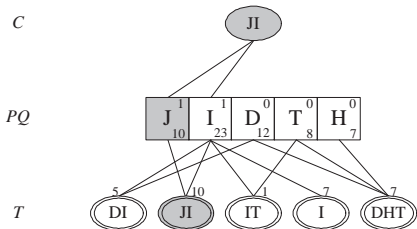
$\mathcal{H} = \{N, R\}$

$E.A = J$

$E.C = \{JI\}$

$E.T = \{JI\}$

$to\_be\_updated = \{I\}$



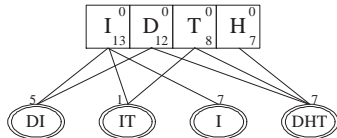
# Heuristic algorithm – Example of Min-Query

$$\mathcal{H} = \{N, R, J\}$$

*C*

*PQ*

*T*





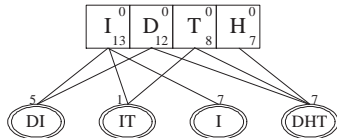
# Heuristic algorithm – Example of Min-Query

$$\mathcal{H} = \{N, R, J\}$$

$C$

$PQ$

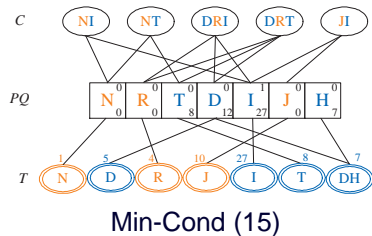
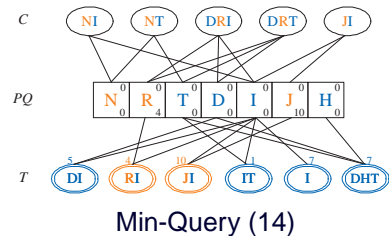
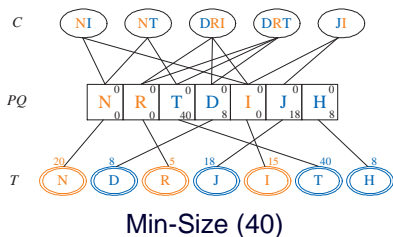
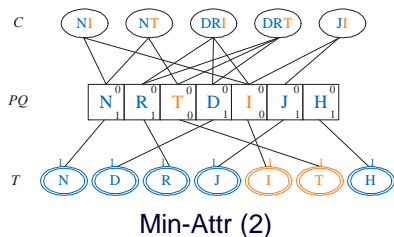
$T$



$F_o = \{\text{SSN, Name, Race, Job}\}$

$F_s = \{\text{Illness, DoB, Treatment, HDate}\}$

# Example of solutions computed by the heuristic algorithm



# Publishing obfuscated associations

# Motivation

- Sensitive associations among data may need to be protected, while allowing execution of certain queries
  - e.g., the set of products available in a pharmacy and the set of customers may be of public knowledge; allow retrieving the average number of products purchased by customers while protecting the association between a particular customer and a particular product
- Possible solutions:
  - [CSYZ-08] exploits a graphical representation of sensitive associations and masks the mapping from entities to nodes of the graph while preserving the graph structure
  - [DFJPS-10] exploits fragmentation for enforcing confidentiality constraints and visibility requirements and publishes a sanitized form of associations

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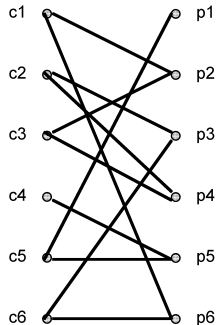
# Anonymizing Bipartite Graph

# Private associations – Example [CSYZ-08]

Customer	State
c1	NJ
c2	NC
c3	CA
c4	NJ
c5	NC
c6	CA

Product	Avail
p1	Rx
p2	OTC
p3	OTC
p4	OTC
p5	Rx
p6	OTC

Customer	Product
c1	p2
c1	p6
c2	p3
c2	p4
c3	p2
c3	p4
c4	p5
c5	p1
c5	p5
c6	p3
c6	p6



# Problem statement

Publish anonymized and useful version of bipartite graph in such a way that:

- a broad class of queries can be answered accurately
  - Type 0 - Graph structure only. E.g., what is the average number of products purchased by customers?
  - Type 1 - Attribute predicate on one side only. E.g., what is the average number of products purchased by NJ customers?
  - Type 2 - Attribute predicate on both side. E.g., what is the average number of OTC products purchased by NJ customers?
- privacy of the specific associations is preserved

# (k,l) grouping

Basic idea: preserve the graph structure but permute mapping from entities to nodes

(k,l) grouping of bipartite graph  $G = (V, W, E)$

- Partition  $V$  ( $W$ , resp.) into non-intersecting subsets of size  $\geq k$  ( $l$ , resp.)
- Publish edges  $E'$  that are isomorphic to  $E$ , where mapping from  $E$  to  $E'$  is anonymized based on partitions of  $V$  and  $W$

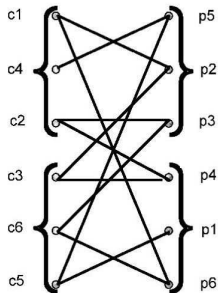


# (3,3) grouping – Example (1)

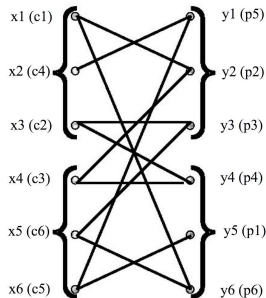
Customer	State
c1	NJ
c2	NC
c3	CA
c4	NJ
c5	NC
c6	CA

Product	Avail
p1	Rx
p2	OTC
p3	OTC
p4	OTC
p5	Rx
p6	OTC

Customer	Product
c1	p2
c1	p6
c2	p3
c2	p4
c3	p2
c3	p4
c4	p5
c5	p1
c5	p5
c6	p3
c6	p6



## (3,3) grouping – Example (2)



x1	y2
x1	y6
x2	y1
x3	y3
x3	y4
x4	y2
x4	y4
x5	y3
x5	y6
x6	y1
x6	y5

$E'$

Customer	Group
c1	CG1
c2	CG1
c3	CG2
c4	CG1
c5	CG2
c6	CG2

$H_V$

Product	Group
p1	PG2
p2	PG1
p3	PG1
p4	PG2
p5	PG1
p6	PG2

$H_W$

X-node	Group
x1	CG1
x2	CG1
x3	CG1
x4	CG2
x5	CG2
x6	CG2

$R_V$

Y-node	Group
y1	PG1
y2	PG1
y3	PG1
y4	PG2
y5	PG2
y6	PG2

$R_W$

# Safe groupings

- There are different ways for creating a  $(k, l)$  grouping but not all the resulting groupings offer the same level of privacy (e.g., local clique)  
⇒ **safe  $(k, l)$  groupings**: nodes in the same group of  $V$  are not connected to a same node in  $W$
- the computation of a safe grouping can be hard even for small values of  $k$  and  $l$ 
  - The computation of a safe, strict  $(3, 3)$ -grouping is NP-hard (reduction from partitioning a graph into triangles)
- The authors propose a greedy algorithm that iteratively adds a node to a group with fewer than  $k$  nodes, if it is safe (it creates a new group if such insertion is not possible)
- The algorithm works when bipartite graph is sparse enough"

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# Fragments and Loose Associations

# Data publication

- Fragmentation can also be used to protect sensitive associations in data publishing
  - ⇒ publish/release to external parties only views (fragments) that do not expose sensitive associations
- To increase **utility** of published information fragments could be coupled with some associations in **sanitized** form
  - ⇒ **loose associations**: associations among groups of values (in contrast to specific values)

# Confidentiality constraints

As already discussed....

- Sets of attributes such that the (joint) visibility of values of the attributes in the sets should be protected
- They permit to express different requirements
  - **sensitive attributes**: the values of some attributes are considered sensitive and should not be visible
  - **sensitive associations**: the associations among values of given attributes are sensitive and should not be visible

# Confidentiality constraints – Example

SSN	Patient	Birth	City	Illness	Doctor
123-45-6789	Page	56/12/9	Rome	diabetes	David
987-65-4321	Patrick	53/3/19	Paris	gastritis	Daisy
963-85-2741	Patty	58/5/18	Oslo	flu	Damian
147-85-2369	Paul	53/12/9	Oslo	asthma	Daniel
782-90-5280	Pearl	56/12/9	Rome	gastritis	Dorothy
816-52-7272	Philip	57/6/25	Paris	obesity	Drew
872-62-5178	Phoebe	53/12/1	NY	measles	Dennis
712-81-7618	Piers	60/7/25	Rome	diabetes	Daisy

- SSN is sensitive
  - {SSN}
- Illness and Doctor are private of an individual and cannot be stored in association with the name of the patient
  - {Patient, Illness}, {Patient, Doctor}
- {Birth, City} can work as quasi-identifier
  - {Birth, City, Illness}, {Birth, City, Doctor}

# Visibility requirements

- **Monotonic** Boolean formulas over attributes, representing **views** over data (negations are captured by confidentiality constraints)
- They permit to express different requirements
  - **visible attributes**: some attributes should be visible
  - **visible associations**: the **association** among values of given attributes should be visible
  - **alternative views**: at least one of the specified views should be visible



# Visibility requirements – Example

SSN	Patient	Birth	City	Illness	Doctor
123-45-6789	Page	56/12/9	Rome	diabetes	David
987-65-4321	Patrick	53/3/19	Paris	gastritis	Daisy
963-85-2741	Patty	58/5/18	Oslo	flu	Damian
147-85-2369	Paul	53/12/9	Oslo	asthma	Daniel
782-90-5280	Pearl	56/12/9	Rome	gastritis	Dorothy
816-52-7272	Philip	57/6/25	Paris	obesity	Drew
872-62-5178	Phoebe	53/12/1	NY	measles	Dennis
712-81-7618	Piers	60/7/25	Rome	diabetes	Daisy

- Either names of Patients or their Cities should be released
  - Patient  $\vee$  City
- Either Birth dates and Cities of patients in association should be released or the SSN of patients should be released
  - $(\text{Birth} \wedge \text{City}) \vee \text{SSN}$
- Illnesses and Doctors, as well as their association, should be released
  - Illness  $\wedge$  Doctor

# Fragmentation

Fragmentation can be applied to satisfy both confidentiality constraints and visibility requirements

- Publish/release to external parties only fragments that
  - do not include sensitive attributes and sensitive associations
  - include the requested attributes and/or associations (all the requirements should be satisfied, not necessarily by a single fragment)

# Fragmentation – Example

SSN	Patient	Birth	City	Illness	Doctor
123-45-6789	Page	56/12/9	Rome	diabetes	David
987-65-4321	Patrick	53/3/19	Paris	gastritis	Daisy
963-85-2741	Patty	58/5/18	Oslo	flu	Damian
147-85-2369	Paul	53/12/9	Oslo	asthma	Daniel
782-90-5280	Pearl	56/12/9	Rome	gastritis	Dorothy
816-52-7272	Philip	57/6/25	Paris	obesity	Drew
872-62-5178	Phoebe	53/12/1	NY	measles	Dennis
712-81-7618	Piers	60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient, Illness}\}$

$c_2 = \{\text{Patient, Doctor}\}$

$c_3 = \{\text{Birth, City, Illness}\}$

$c_4 = \{\text{Birth, City, Doctor}\}$

$v_1 = \text{Patient} \vee \text{City}$

$v_2 = (\text{Birth} \wedge \text{City}) \vee \text{SSN}$

$v_3 = \text{Illness} \wedge \text{Doctor}$

# Fragmentation – Example

SSN	Patient	Birth	City	Illness	Doctor
123-45-6789	Page	56/12/9	Rome	diabetes	David
987-65-4321	Patrick	53/3/19	Paris	gastritis	Daisy
963-85-2741	Patty	58/5/18	Oslo	flu	Damian
147-85-2369	Paul	53/12/9	Oslo	asthma	Daniel
782-90-5280	Pearl	56/12/9	Rome	gastritis	Dorothy
816-52-7272	Philip	57/6/25	Paris	obesity	Drew
872-62-5178	Phoebe	53/12/1	NY	measles	Dennis
712-81-7618	Piers	60/7/25	Rome	diabetes	Daisy

$C_0 = \{\text{SSN}\}$

$C_1 = \{\text{Patient}, \text{Illness}\}$

$C_2 = \{\text{Patient}, \text{Doctor}\}$

$C_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$C_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$V_1 = \text{Patient} \vee \text{City}$

$V_2 = (\text{Birth} \wedge \text{City}) \vee \text{SSN}$

$V_3 = \text{Illness} \wedge \text{Doctor}$

$F_l$

Birth	City
56/12/9	Rome
53/3/19	Paris
58/5/18	Oslo
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
diabetes	David
gastritis	Daisy
flu	Damian
asthma	Daniel
gastritis	Dorothy
obesity	Drew
measles	Dennis
diabetes	Daisy

# Correct and minimal fragmentation

- A fragmentation is **correct** if
  - each confidentiality constraint is satisfied by **all** fragments
  - each visibility requirement is satisfied by **at least** a fragment
  - fragments do not have attributes in common (to prevent joins on fragments to retrieve associations)
- A correct fragmentation is **minimal** if
  - the number of fragments is **minimum** (i.e., any other correct fragmentation has an equal or greater number of fragments)
- The **Min-CF problem** of computing a correct and minimal fragmentation is NP-hard

# Computing a correct and minimal fragmentation

A SAT solver can efficiently solve the Min-CF problem

- An instance of the Min-CF problem is translated into an instance of the SAT problem
- The inputs to the Min-CF problem are interpreted as boolean formulas
  - visibility requirements are already represented as boolean formulas
  - each confidentiality constraint is represented via a boolean formula as a conjunction of the attributes appearing in the constraint
- Iterate the evaluation of a SAT solver, starting with one fragment and increasing fragments by one at each iteration, until a solution is found (solution is guaranteed to be minimal)

# Publishing loose associations (1)

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- Fragmentation breaks associations among attributes
- To increase **utility** of published information, fragments can be coupled with some associations in **sanitized** form
- A given **privacy degree** of the association must be guaranteed

⇒ **loose associations**: associations among groups of values  
(in contrast to specific values)

## Publishing loose associations (2)

Given two fragments  $F_l$  and  $F_r$ , a loose association between  $F_l$  and  $F_r$

- partitions tuples in the fragments in groups
- provides information on the associations at the group level
- does not permit to exactly reconstruct the original associations among the tuples in the fragments
- provides enriched utility of the published data



# Grouping

- Given fragment  $F_i$  and its instance  $f_i$ , a  $k$ -grouping over  $f_i$  partitions the tuples in  $f_i$  in groups of size greater than or equal to  $k$   
 $\implies$  each tuple  $t$  in  $f_i$  is associated with a group identifier  $G_i(t)$
- A  $k$ -grouping is **minimal** if it maximizes the number of groups (intuitively, it minimizes the size of the groups)
- $(k_l, k_r)$ -grouping denotes the groupings over two instances  $f_l$  and  $f_r$  of  $F_l$  and  $F_r$
- A  $(k_l, k_r)$ -grouping is **minimal** if both the  $k_l$ -grouping and the  $k_r$ -grouping are minimal

# Minimal (2,2)-grouping – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
56/12/9	Rome
53/3/19	Paris
58/5/18	Oslo
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
diabetes	David
gastritis	Daisy
flu	Damian
asthma	Daniel
gastritis	Dorothy
obesity	Drew
measles	Dennis
diabetes	Daisy

# Minimal (2,2)-grouping – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
gastritis	Daisy
diabetes	David
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
gastritis	Dorothy
diabetes	Daisy

# Group association

- A  $(k_l, k_r)$ -grouping induces a group association  $A$  among the groups in  $f_l$  and  $f_r$
- A group association  $A$  over  $f_l$  and  $f_r$  is a set of pairs of group identifiers such that:
  - $A$  has the same cardinality as the original relation
  - there is a bijective mapping between the original relation and  $A$  that associates each tuple in the original relation with a pair  $(G_l(l), G_r(r))$  in  $A$ , with  $l \in f_l$  and  $r \in f_r$

# Group association – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

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$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
gastritis	Daisy
diabetes	David
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
gastritis	Dorothy
diabetes	Daisy

# Group association – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
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53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

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$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
gastritis	Daisy
diabetes	David
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
gastritis	Dorothy
diabetes	Daisy

# Group association – Example

⇒

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

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$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
gastritis	Daisy
diabetes	David
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
gastritis	Dorothy
diabetes	Daisy

# Group association – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

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$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$		$F_r$	
Birth	City	Illness	Doctor
53/3/19	Paris	gastritis	Daisy
53/12/9	Oslo	diabetes	David
56/12/9	Rome	asthma	Daniel
57/6/25	Paris	flu	Damian
58/5/18	Oslo	obesity	Drew
56/12/9	Rome	measles	Dennis
53/12/1	NY	gastritis	Dorothy
60/7/25	Rome	diabetes	Daisy



# Group association – Example

Birth	City	Illness	Doctor
⇒ 56/12/9	Rome	diabetes	David
⇒ 53/3/19	Paris	gastritis	Daisy
⇒ 58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

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$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$			$F_r$	
Birth	City		Illness	Doctor
53/3/19	Paris	—	gastritis	Daisy
53/12/9	Oslo		diabetes	David
56/12/9	Rome	—	asthma	Daniel
57/6/25	Paris		flu	Damian
58/5/18	Oslo	—	obesity	Drew
56/12/9	Rome		measles	Dennis
53/12/1	NY		gastritis	Dorothy
60/7/25	Rome		diabetes	Daisy

# Group association – Example

Birth	City	Illness	Doctor
⇒ 56/12/9	Rome	diabetes	David
⇒ 53/3/19	Paris	gastritis	Daisy
⇒ 58/5/18	Oslo	flu	Damian
⇒ 53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

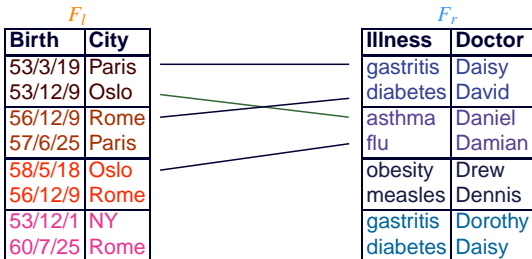
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Group association – Example

Birth	City	Illness	Doctor
⇒ 56/12/9	Rome	diabetes	David
⇒ 53/3/19	Paris	gastritis	Daisy
⇒ 58/5/18	Oslo	flu	Damian
⇒ 53/12/9	Oslo	asthma	Daniel
⇒ 56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

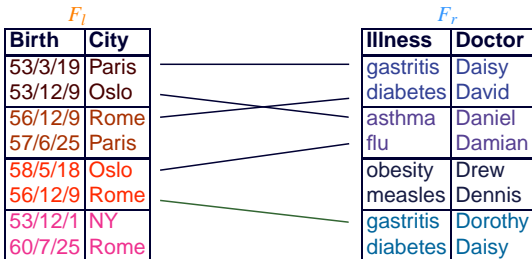
$c_0 = \{\text{SSN}\}$

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$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Group association – Example

	Birth	City	Illness	Doctor
⇒	56/12/9	Rome	diabetes	David
⇒	53/3/19	Paris	gastritis	Daisy
⇒	58/5/18	Oslo	flu	Damian
⇒	53/12/9	Oslo	asthma	Daniel
⇒	56/12/9	Rome	gastritis	Dorothy
⇒	57/6/25	Paris	obesity	Drew
⇒	53/12/1	NY	measles	Dennis
⇒	60/7/25	Rome	diabetes	Daisy

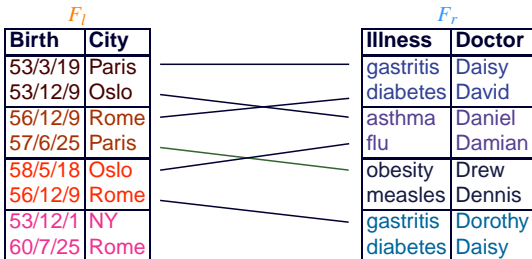
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Group association – Example

	Birth	City	Illness	Doctor
⇒	56/12/9	Rome	diabetes	David
⇒	53/3/19	Paris	gastritis	Daisy
⇒	58/5/18	Oslo	flu	Damian
⇒	53/12/9	Oslo	asthma	Daniel
⇒	56/12/9	Rome	gastritis	Dorothy
⇒	57/6/25	Paris	obesity	Drew
⇒	53/12/1	NY	measles	Dennis
⇒	60/7/25	Rome	diabetes	Daisy

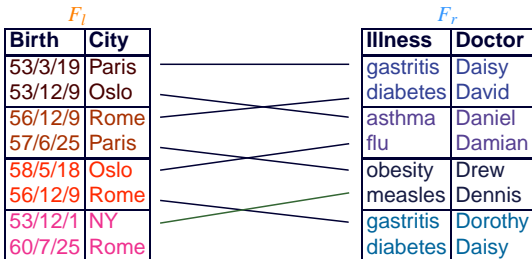
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Group association – Example

Birth	City	Illness	Doctor
⇒ 56/12/9	Rome	diabetes	David
⇒ 53/3/19	Paris	gastritis	Daisy
⇒ 58/5/18	Oslo	flu	Damian
⇒ 53/12/9	Oslo	asthma	Daniel
⇒ 56/12/9	Rome	gastritis	Dorothy
⇒ 57/6/25	Paris	obesity	Drew
⇒ 53/12/1	NY	measles	Dennis
⇒ 60/7/25	Rome	diabetes	Daisy

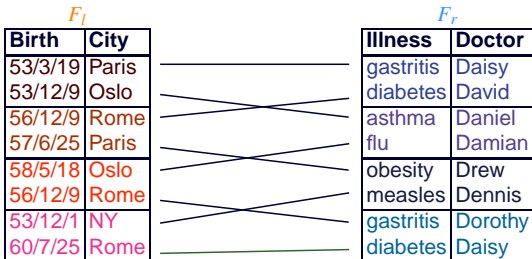
$c_0 = \{\text{SSN}\}$

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$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Group association – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

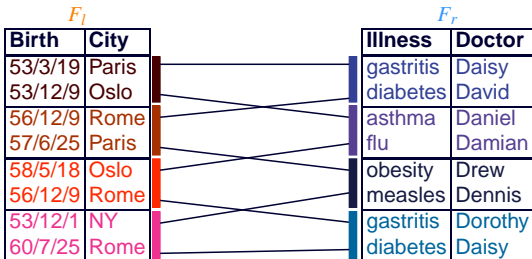
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Group association protection

- Duplicates in fragments are **maintained** (all fragments have the same cardinality as the original relation)
  - fragments may contain tuples that are equal
- Even tuples that are **different** may have the **same values** for attributes involved in a confidentiality constraint
- The looseness protection offered by grouping can be compromised
  - ⇒ need to control occurrences of the same values



# Alikeness

- Two tuples  $l_i, l_j$  in  $f_l$  ( $r_i, r_j$  in  $f_r$ ) are **alike** w.r.t. a constraint  $c$ , denoted  $l_i \simeq_c l_j$  ( $r_i \simeq_c r_j$ ), if
  - $c \subseteq (F_l \cup F_r)$  ( $c$  is covered by  $F_l$  and  $F_r$ )
  - $l_i[c \cap F_l] = l_j[c \cap F_l]$  ( $r_i[c \cap F_r] = r_j[c \cap F_r]$ )
- Two tuples  $l_i, l_j$  in  $f_l$  ( $r_i, r_j$  in  $f_r$ ) are **alike**  $l_i \simeq l_j$  ( $r_i \simeq r_j$ ) if they are alike w.r.t. at least a constraint  $c \subseteq (F_l \cup F_r)$
- $\simeq_c$  is **transitive** for any constraint  $c$
- $\simeq$  is **not** transitive if there are at least two constraints covered by  $F_l$  and  $F_r$

# Alikeness – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient, Illness}\}$

$c_2 = \{\text{Patient, Doctor}\}$

$c_3 = \{\text{Birth, City, Illness}\}$

$c_4 = \{\text{Birth, City, Doctor}\}$

$F_l$

Birth	City
56/12/9	Rome
53/3/19	Paris
58/5/18	Oslo
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
diabetes	David
gastritis	Daisy
flu	Damian
asthma	Daniel
gastritis	Dorothy
obesity	Drew
measles	Dennis
diabetes	Daisy

# Alikeness – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient, Illness}\}$

$c_2 = \{\text{Patient, Doctor}\}$

$c_3 = \{\text{Birth, City, Illness}\}$

$c_4 = \{\text{Birth, City, Doctor}\}$

$F_l$

Birth	City
56/12/9	Rome
53/3/19	Paris
58/5/18	Oslo
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
diabetes	David
gastritis	Daisy
flu	Damian
asthma	Daniel
gastritis	Dorothy
obesity	Drew
measles	Dennis
diabetes	Daisy

$\approx_{c_4}$

# Alikeness – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient, Illness}\}$

$c_2 = \{\text{Patient, Doctor}\}$

$c_3 = \{\text{Birth, City, Illness}\}$

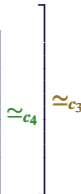
$c_4 = \{\text{Birth, City, Doctor}\}$

$F_l$

Birth	City
56/12/9	Rome
53/3/19	Paris
58/5/18	Oslo
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
diabetes	David
gastritis	Daisy
flu	Damian
asthma	Daniel
gastritis	Dorothy
obesity	Drew
measles	Dennis
diabetes	Daisy



# Alikeness – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient, Illness}\}$

$c_2 = \{\text{Patient, Doctor}\}$

$c_3 = \{\text{Birth, City, Illness}\}$

$c_4 = \{\text{Birth, City, Doctor}\}$

$F_l$

Birth	City
56/12/9	Rome
53/3/19	Paris
58/5/18	Oslo
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
diabetes	David
gastritis	Daisy
flu	Damian
asthma	Daniel
gastritis	Dorothy
obesity	Drew
measles	Dennis
diabetes	Daisy

≠

# $k$ -loose association

- A group association is  $k$ -loose if every tuple in the group association  $A$  indistinguishably corresponds to at least  $k$  distinct associations among tuples in the fragments
- A  $k$ -loose association is also  $k'$ -loose for any  $k' \leq k$
- A  $(k_l, k_r)$ -grouping induces a minimal group association  $A$  if
  - $A$  is  $k$ -loose
  - $\nexists$  a  $(k'_l, k'_r)$ -grouping inducing a  $k$ -loose association s.t.  $k'_l \cdot k'_r < k_l \cdot k_r$

# 4-loose association – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

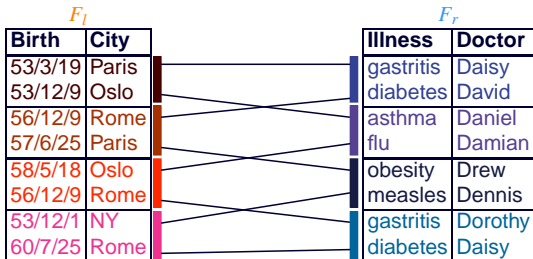
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# 4-loose association – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City	G
53/3/19	Paris	bc1
53/12/9	Oslo	bc1
56/12/9	Rome	bc2
57/6/25	Paris	bc2
58/5/18	Oslo	bc3
56/12/9	Rome	bc3
53/12/1	NY	bc4
60/7/25	Rome	bc4

$F_r$

$G_l$	$G_r$	G	Illness	Doctor
bc1	id1	id1	gastritis	Daisy
bc1	id2	id1	diabetes	David
bc2	id1	id2	asthma	Daniel
bc2	id3	id2	flu	Damian
bc3	id2	id3	obesity	Drew
bc3	id4	id3	measles	Dennis
bc4	id3	id4	gastritis	Dorothy
bc4	id4	id4	diabetes	Daisy



# Heterogeneity properties

- There is a **correspondence** between  $k_l, k_r$  of the groupings and the degree of  $k$ -looseness of the induced group association
  - a  $(k_l, k_r)$ -grouping cannot induce a  $k$ -loose association for a  $k > k_l \cdot k_r$
  - the value  $k \leq k_l \cdot k_r$  depends on how groups are defined
- If a  $(k_l, k_r)$ -grouping satisfies given **heterogeneity properties**, the induced group association is  $k$ -loose with  $k = k_l \cdot k_r$ 
  - group heterogeneity
  - association heterogeneity
  - deep heterogeneity

# Group heterogeneity

No group can contain tuples that are alike with respect to the constraints covered by  $F_l$  and  $F_r$

- it ensures diversity of tuples within groups

$c_1 = \{\text{Patient}, \text{Illness}\}$   
 $c_2 = \{\text{Patient}, \text{Doctor}\}$   
 $c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$   
 $c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
gastritis	Daisy
gastritis	Dorothy
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
diabetes	David
diabetes	Daisy

} NO  
} NO

# Group heterogeneity

No group can contain tuples that are alike with respect to the constraints covered by  $F_l$  and  $F_r$

- it ensures diversity of tuples within groups

$c_1 = \{\text{Patient}, \text{Illness}\}$   
 $c_2 = \{\text{Patient}, \text{Doctor}\}$   
 $c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$   
 $c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$

$F_l$

Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

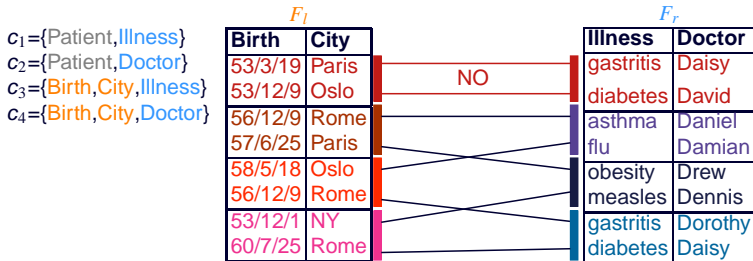
$F_r$

Illness	Doctor
gastritis	Daisy
diabetes	David
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
gastritis	Dorothy
diabetes	Daisy

# Association heterogeneity

No group can be associated **twice** with another group (the group association cannot contain any duplicate)

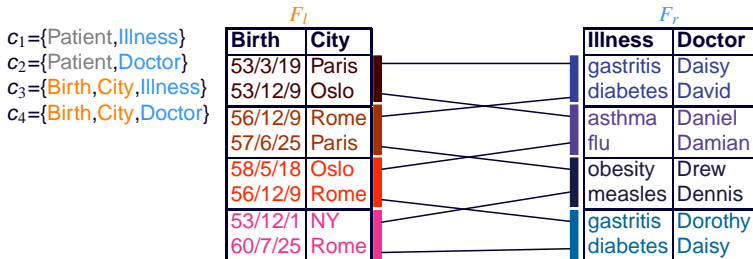
- it ensures that for each real tuple in the original relation there are at least  $k_l \cdot k_r$  pairs in the group association that may correspond to it



# Association heterogeneity

No group can be associated **twice** with another group (the group association cannot contain any duplicate)

- it ensures that for each real tuple in the original relation there are at least  $k_l \cdot k_r$  pairs in the group association that may correspond to it

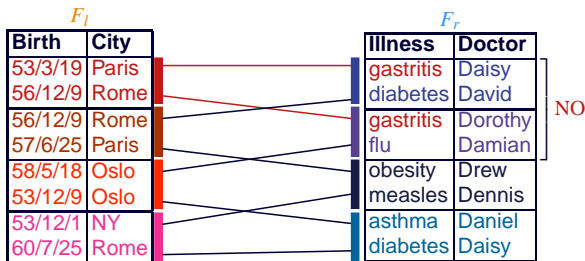


# Deep heterogeneity

No group can be associated with two groups that contain alike tuples

- it ensures that all  $k_l \cdot k_r$  pairs in the group association to which each tuple could correspond to contain diverse values for attributes involved in constraints

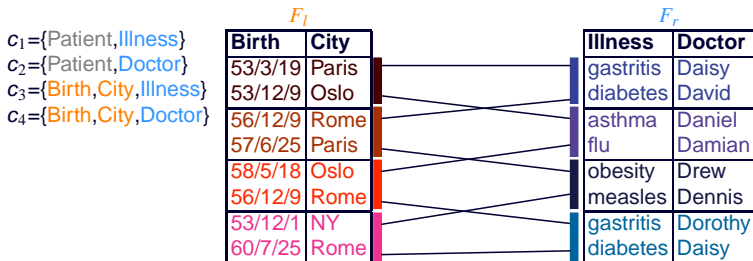
$c_1 = \{\text{Patient, Illness}\}$   
 $c_2 = \{\text{Patient, Doctor}\}$   
 $c_3 = \{\text{Birth, City, Illness}\}$   
 $c_4 = \{\text{Birth, City, Doctor}\}$



# Deep heterogeneity

No group can be associated with two groups that contain alike tuples

- it ensures that all  $k_l \cdot k_r$  pairs in the group association to which each tuple could correspond to contain diverse values for attributes involved in constraints



# Flat grouping vs sparse grouping

- A  $(k_l, k_r)$ -grouping is
  - flat if either  $k_l$  or  $k_r$  is equal to 1
  - sparse if both  $k_l$  and  $k_r$  are different from 1
- Flat grouping resembles  $k$ -anonymity and captures at the same time the  $\ell$ -diversity property, but it works on associations and attributes' values are not generalized
- Sparse grouping guarantees larger applicability than flat grouping, with the same level of protection  
(there may exist a sparse grouping providing  $k$ -looseness but not a flat grouping)



# Flat grouping – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

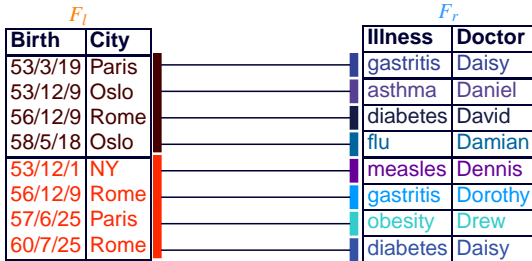
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Sparse grouping – Example

Birth	City	Illness	Doctor
56/12/9	Rome	diabetes	David
53/3/19	Paris	gastritis	Daisy
58/5/18	Oslo	flu	Damian
53/12/9	Oslo	asthma	Daniel
56/12/9	Rome	gastritis	Dorothy
57/6/25	Paris	obesity	Drew
53/12/1	NY	measles	Dennis
60/7/25	Rome	diabetes	Daisy

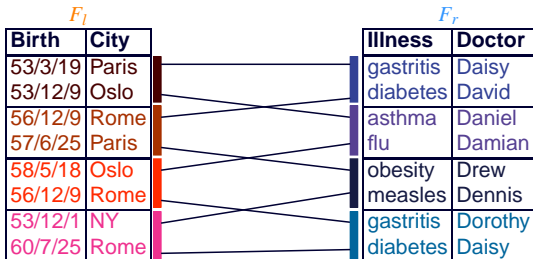
$c_0 = \{\text{SSN}\}$

$c_1 = \{\text{Patient}, \text{Illness}\}$

$c_2 = \{\text{Patient}, \text{Doctor}\}$

$c_3 = \{\text{Birth}, \text{City}, \text{Illness}\}$

$c_4 = \{\text{Birth}, \text{City}, \text{Doctor}\}$



# Privacy vs utility

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- The publication of loose associations increases data **utility**
  - it makes it possible to evaluate queries more precisely than if only the fragments were published
- Increased utility corresponds to a greater **exposure** of information (lower privacy degree)

# Association exposure

- The exposure of a sensitive association  $\langle I[c \cap F_l], r[c \cap F_r] \rangle$ , with  $c$  a constraint covered by  $F_l, F_r$ , can be expressed as the probability of the association to hold in the original relation (given the published information)
- The increased exposure due to the publication of loose associations can be measured as the difference between
  - the probability  $P^A(I[c \cap F_l], r[c \cap F_r])$  that the sensitive association  $\langle I[c \cap F_l], r[c \cap F_r] \rangle$  appears in the original relation, given  $f_l, f_r$ , and  $A$
  - the probability  $P(I[c \cap F_l], r[c \cap F_r])$  that the sensitive association  $\langle I[c \cap F_l], r[c \cap F_r] \rangle$  appears in the original relation, given  $f_l$  and  $f_r$

# Exposure without loose association (1)

- Given  $l \in f_l$  and  $r \in f_r$  the probability  $P(l,r)$  that tuple  $\langle l,r \rangle$  belongs to the original relation is  $1/|f_l| = 1/|f_r|$

# Exposure without loose association (1)

- Given  $l \in f_l$  and  $r \in f_r$  the probability  $P(l,r)$  that tuple  $\langle l,r \rangle$  belongs to the original relation is  $1/|f_l| = 1/|f_r|$

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
		Daisy	David	Daniel	Damian	Drew	Dennis	Dorothy	Daisy
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

## Exposure without loose association (2)

- Exposure ( $P(l[c \cap F_l], r[c \cap F_r])$ ) depends on the presence of alike tuples
- Let  $l_i, l_j$  be two tuples in  $f_l$  s.t.  $l_i \simeq_c l_j$ ,  $P(l_i[c \cap F_l], r[c \cap F_r])$  is the composition of the probability that
  - $l_i$  is associated with  $r$
  - $l_j$  is associated with  $r$

$$P(l_i, r) + P(l_j, r) - (P(l_i, r) \cdot P(l_j, r))$$

# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
		Daisy	David	Daniel	Damian	Drew	Dennis	Dorothy	Daisy
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8



# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
		Daisy	David	Daniel	Damian	Drew	Dennis	Dorothy	Daisy
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

$C_3 = \{\text{Birth, City, Illness}\}$

# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

$C_3 = \{\text{Birth, City, Illness}\}$

# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
$\approx_{c_3}$	53/3/19 Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	53/12/9 Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	56/12/9 Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	57/6/25 Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	58/5/18 Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	56/12/9 Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	53/12/1 NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
	60/7/25 Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(56/12/9, \text{Rome, gastritis}) = P(56/12/9, \text{Rome, diabetes}) = \dots = P(56/12/9, \text{Rome, diabetes}) = \frac{1}{8} + \frac{1}{8} - \left(\frac{1}{8} \cdot \frac{1}{8}\right)$$

# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	15/64	15/64	15/64	15/64	15/64	15/64	15/64	15/64
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

$C_3 = \{\text{Birth, City, Illness}\}$

$$P(56/12/9, \text{Rome, gastritis}) = P(56/12/9, \text{Rome, diabetes}) = \dots = P(56/12/9, \text{Rome, diabetes}) = \frac{1}{8} + \frac{1}{8} - \left(\frac{1}{8} \cdot \frac{1}{8}\right) = \frac{15}{64}$$

# Exposure without loose association – Example

$\approx_{c_3}$

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	15/64	15/64	15/64	15/64	15/64	15/64	15/64	15/64
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(53/3/19, \text{Paris, gastritis}) = P(53/12/9, \text{Oslo, gastritis}) = \dots = P(60/7/25, \text{Rome, gastritis}) =$$

$$\frac{1}{8} + \frac{1}{8} - \left(\frac{1}{8} \cdot \frac{1}{8}\right)$$

$$P(56/12/9, \text{Rome, gastritis}) = \frac{15}{64} + \frac{15}{64} - \left(\frac{15}{64} \cdot \frac{15}{64}\right)$$

# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	diabetes
53/3/19	Paris	15/64	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	15/64	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1695/4096	15/64	15/64	15/64	15/64	15/64	15/64
57/6/25	Paris	15/64	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	15/64	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	15/64	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	15/64	1/8	1/8	1/8	1/8	1/8	1/8

$C_3 = \{\text{Birth, City, Illness}\}$

$$P(53/3/19, \text{Paris, gastritis}) = P(53/12/9, \text{Oslo, gastritis}) = \dots = P(60/7/25, \text{Rome, gastritis}) =$$

$$\frac{1}{8} + \frac{1}{8} - \left(\frac{1}{8} \cdot \frac{1}{8}\right) = \frac{15}{64}$$

$$P(56/12/9, \text{Rome, gastritis}) = \frac{15}{64} + \frac{15}{64} - \left(\frac{15}{64} \cdot \frac{15}{64}\right) = \frac{1695}{4096}$$

# Exposure without loose association – Example

$\approx_{c_3}$

		gastritis	diabetes	asthma	flu	obesity	measles	diabetes
53/3/19	Paris	15/64	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	15/64	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1695/4096	15/64	15/64	15/64	15/64	15/64	15/64
57/6/25	Paris	15/64	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	15/64	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	15/64	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	15/64	1/8	1/8	1/8	1/8	1/8	1/8

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(53/3/19, \text{Paris}, \text{diabetes}) = P(53/12/9, \text{Oslo}, \text{diabetes}) = \dots = P(60/7/25, \text{Rome}, \text{diabetes}) =$$

$$\frac{1}{8} + \frac{1}{8} - \left(\frac{1}{8} \cdot \frac{1}{8}\right)$$

$$P(56/12/9, \text{Rome}, \text{diabetes}) = \frac{15}{64} + \frac{15}{64} - \left(\frac{15}{64} \cdot \frac{15}{64}\right)$$

# Exposure without loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles
53/3/19	Paris	15/64	15/64	1/8	1/8	1/8	1/8
53/12/9	Oslo	15/64	15/64	1/8	1/8	1/8	1/8
56/12/9	Rome	1695/4096	1695/4096	15/64	15/64	15/64	15/64
57/6/25	Paris	15/64	15/64	1/8	1/8	1/8	1/8
58/5/18	Oslo	15/64	15/64	1/8	1/8	1/8	1/8
53/12/1	NY	15/64	15/64	1/8	1/8	1/8	1/8
60/7/25	Rome	15/64	15/64	1/8	1/8	1/8	1/8

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(53/3/19, \text{Paris}, \text{diabetes}) = P(53/12/9, \text{Oslo}, \text{diabetes}) = \dots = P(60/7/25, \text{Rome}, \text{diabetes}) =$$

$$\frac{1}{8} + \frac{1}{8} - \left(\frac{1}{8} \cdot \frac{1}{8}\right) = \frac{15}{64}$$

$$P(56/12/9, \text{Rome}, \text{diabetes}) = \frac{15}{64} + \frac{15}{64} - \left(\frac{15}{64} \cdot \frac{15}{64}\right) = \frac{1695}{4096}$$



# Exposure with loose association

- Given  $l \in f_l$  and  $r \in f_r$  the probability  $P^A(l, r)$  that tuple  $\langle l, r \rangle$  belongs to the original relation is at most  $1/k$
- $P^A(l[c \cap F_l], r[c \cap F_r])$  is evaluated considering the alike  $\simeq_c$  relationship
  - let  $l_i, l_j$  in  $f_l$  s.t.  $l_i \simeq_c l_j$ ,  $P^A(l_i[c \cap F_l], r[c \cap F_r])$  is the composition of the probability that
    - $l_i$  is associated with  $r$
    - $l_j$  is associated with  $r$

$$P^A(l_i, r) + P^A(l_j, r) - (P^A(l_i, r) \cdot P^A(l_j, r))$$

# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
		Daisy	David	Daniel	Damian	Drew	Dennis	Dorothy	Daisy
53/3/19	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/9	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
57/6/25	Paris	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
58/5/18	Oslo	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
56/12/9	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
53/12/1	NY	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8
60/7/25	Rome	1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

$F_l$

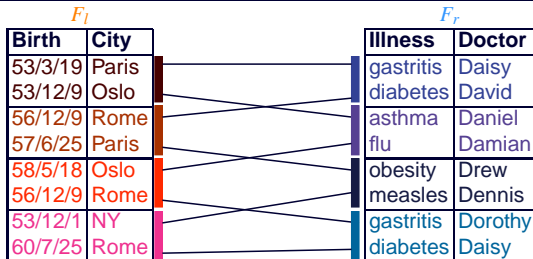
Birth	City
53/3/19	Paris
53/12/9	Oslo
56/12/9	Rome
57/6/25	Paris
58/5/18	Oslo
56/12/9	Rome
53/12/1	NY
60/7/25	Rome

$F_r$

Illness	Doctor
gastritis	Daisy
diabetes	David
asthma	Daniel
flu	Damian
obesity	Drew
measles	Dennis
gastritis	Dorothy
diabetes	Daisy

# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
		Daisy	David	Daniel	Damian	Drew	Dennis	Dorothy	Daisy
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–	–	–
56/12/9	Rome	1/4	1/4	–	–	1/4	1/4	–	–
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4	–	–
58/5/18	Oslo	–	–	1/4	1/4	–	–	1/4	1/4
56/12/9	Rome	–	–	1/4	1/4	–	–	1/4	1/4
53/12/1	NY	–	–	–	–	1/4	1/4	1/4	1/4
60/7/25	Rome	–	–	–	–	1/4	1/4	1/4	1/4



# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
		Daisy	David	Daniel	Damian	Drew	Dennis	Dorothy	Daisy
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–	–	–
56/12/9	Rome	1/4	1/4	–	–	1/4	1/4	–	–
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4	–	–
58/5/18	Oslo	–	–	1/4	1/4	–	–	1/4	1/4
56/12/9	Rome	–	–	1/4	1/4	–	–	1/4	1/4
53/12/1	NY	–	–	–	–	1/4	1/4	1/4	1/4
60/7/25	Rome	–	–	–	–	1/4	1/4	1/4	1/4

$C_3 = \{\text{Birth, City, Illness}\}$

# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
$\approx_{c_3}$	53/3/19 Paris	1/4	1/4	1/4	1/4	–	–	–	–
	53/12/9 Oslo	1/4	1/4	1/4	1/4	–	–	–	–
	56/12/9 Rome	1/4	1/4	–	–	1/4	1/4	–	–
	57/6/25 Paris	1/4	1/4	–	–	1/4	1/4	–	–
	58/5/18 Oslo	–	–	1/4	1/4	–	–	1/4	1/4
	56/12/9 Rome	–	–	1/4	1/4	–	–	1/4	1/4
	53/12/1 NY	–	–	–	–	1/4	1/4	1/4	1/4
	60/7/25 Rome	–	–	–	–	1/4	1/4	1/4	1/4

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(56/12/9, \text{Rome, gastritis}) = P(56/12/9, \text{Rome, diabetes}) = \dots = P(56/12/9, \text{Rome, diabetes}) = \frac{1}{4} + 0 - \left(\frac{1}{4} \cdot 0\right)$$

# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–	–	–
56/12/9	Rome	1/4	1/4	1/4	1/4	1/4	1/4	1/4	1/4
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4	–	–
58/5/18	Oslo	–	–	1/4	1/4	–	–	1/4	1/4
53/12/1	NY	–	–	–	–	1/4	1/4	1/4	1/4
60/7/25	Rome	–	–	–	–	1/4	1/4	1/4	1/4

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(56/12/9, \text{Rome, gastritis}) = P(56/12/9, \text{Rome, diabetes}) = \dots = P(56/12/9, \text{Rome, diabetes}) = \frac{1}{4} + 0 - \left(\frac{1}{4} \cdot 0\right) = \frac{1}{4}$$

# Exposure with loose association – Example

		$\approx c_3$							
		gastritis	diabetes	asthma	flu	obesity	measles	gastritis	diabetes
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–	–	–
56/12/9	Rome	1/4	1/4	1/4	1/4	1/4	1/4	1/4	1/4
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4	–	–
58/5/18	Oslo	–	–	1/4	1/4	–	–	1/4	1/4
53/12/1	NY	–	–	–	–	1/4	1/4	1/4	1/4
60/7/25	Rome	–	–	–	–	1/4	1/4	1/4	1/4

$c_3 = \{\text{Birth, City, Illness}\}$

$P(53/3/19, \text{Paris, gastritis}) = P(53/12/9, \text{Oslo, gastritis}) = \dots = P(60/7/25, \text{Rome, gastritis}) =$

$$\frac{1}{4} + 0 - \left(\frac{1}{4} \cdot 0\right)$$

$$P(56/12/9, \text{Rome, gastritis}) = \frac{1}{4} + \frac{1}{4} - \left(\frac{1}{4} \cdot \frac{1}{4}\right)$$

# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles	diabetes
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–	–
56/12/9	Rome	7/16	1/4	1/4	1/4	1/4	1/4	1/4
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4	–
58/5/18	Oslo	1/4	–	1/4	1/4	–	–	1/4
53/12/1	NY	1/4	–	–	–	1/4	1/4	1/4
60/7/25	Rome	1/4	–	–	–	1/4	1/4	1/4

$C_3 = \{\text{Birth, City, Illness}\}$

$P(53/3/19, \text{Paris, gastritis}) = P(53/12/9, \text{Oslo, gastritis}) = \dots = P(60/7/25, \text{Rome, gastritis}) =$

$$\frac{1}{4} + 0 - \left(\frac{1}{4} \cdot 0\right) = \frac{1}{4}$$

$$P(56/12/9, \text{Rome, gastritis}) = \frac{1}{4} + \frac{1}{4} - \left(\frac{1}{4} \cdot \frac{1}{4}\right) = \frac{7}{16}$$



# Exposure with loose association – Example

		$\approx_{c_3}$						
		gastritis	diabetes	asthma	flu	obesity	measles	diabetes
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–	–
56/12/9	Rome	7/16	1/4	1/4	1/4	1/4	1/4	1/4
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4	–
58/5/18	Oslo	1/4	–	1/4	1/4	–	–	1/4
53/12/1	NY	1/4	–	–	–	1/4	1/4	1/4
60/7/25	Rome	1/4	–	–	–	1/4	1/4	1/4

$c_3 = \{\text{Birth, City, Illness}\}$

$$P(53/3/19, \text{Paris}, \text{diabetes}) = P(53/12/9, \text{Oslo}, \text{diabetes}) = \dots = P(60/7/25, \text{Rome}, \text{diabetes}) =$$

$$\frac{1}{4} + 0 - \left(\frac{1}{4} \cdot 0\right)$$

$$P(56/12/9, \text{Rome}, \text{diabetes}) = \frac{1}{4} + \frac{1}{4} - \left(\frac{1}{4} \cdot \frac{1}{4}\right)$$

# Exposure with loose association – Example

		gastritis	diabetes	asthma	flu	obesity	measles
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–
56/12/9	Rome	7/16	7/16	1/4	1/4	1/4	1/4
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4
58/5/18	Oslo	1/4	1/4	1/4	1/4	–	–
53/12/1	NY	1/4	1/4	–	–	1/4	1/4
60/7/25	Rome	1/4	1/4	–	–	1/4	1/4

$C_3 = \{\text{Birth, City, Illness}\}$

$$P(53/3/19, \text{Paris}, \text{diabetes}) = P(53/12/9, \text{Oslo}, \text{diabetes}) = \dots = P(60/7/25, \text{Rome}, \text{diabetes}) =$$

$$\frac{1}{4} + 0 - \left(\frac{1}{4} \cdot 0\right) = \frac{1}{4}$$

$$P(56/12/9, \text{Rome}, \text{diabetes}) = \frac{1}{4} + \frac{1}{4} - \left(\frac{1}{4} \cdot \frac{1}{4}\right) = \frac{7}{16}$$

# Measuring privacy and utility

- **Utility:** average over the variation of probability  
 $|P^A(l[c \cap F_l], r[c \cap F_r]) - P(l[c \cap F_l], r[c \cap F_r])|$  for each sensitive association  $\langle l[c \cap F_l], r[c \cap F_r] \rangle$ 
  - measured also in terms of the precision in responding to queries
- **Privacy:** in addition to the  $k$ -loose degree, an exposure threshold  $\delta_{\max}$  could be specified
  - given a threshold  $\delta_{\max}$ ,  $A$  can be published if  $\delta_{\max} \geq (P^A(l[c \cap F_l], r[c \cap F_r]) - P(l[c \cap F_l], r[c \cap F_r]))$  for all sensitive associations  $\langle l[c \cap F_l], r[c \cap F_r] \rangle$

# Measuring utility – Example

$$P^A$$

		gastritis	diabetes	asthma	flu	obesity	measles
53/3/19	Paris	1/4	1/4	1/4	1/4	–	–
53/12/9	Oslo	1/4	1/4	1/4	1/4	–	–
56/12/9	Rome	7/16	7/16	1/4	1/4	1/4	1/4
57/6/25	Paris	1/4	1/4	–	–	1/4	1/4
58/5/18	Oslo	1/4	1/4	1/4	1/4	–	–
53/12/1	NY	1/4	1/4	–	–	1/4	1/4
60/7/25	Rome	1/4	1/4	–	–	1/4	1/4

$$P$$

		gastritis	diabetes	asthma	flu	obesity	measles
53/3/19	Paris	15/64	15/64	1/8	1/8	1/8	1/8
53/12/9	Oslo	15/64	15/64	1/8	1/8	1/8	1/8
56/12/9	Rome	1695/4096	1695/4096	15/64	15/64	15/64	15/64
57/6/25	Paris	15/64	15/64	1/8	1/8	1/8	1/8
58/5/18	Oslo	15/64	15/64	1/8	1/8	1/8	1/8
53/12/1	NY	15/64	15/64	1/8	1/8	1/8	1/8
60/7/25	Rome	15/64	15/64	1/8	1/8	1/8	1/8

$$P^A(I[\text{Birth, City}], r[\text{Illness}]) - P(I[\text{Birth, City}], r[\text{Illness}])$$

# Measuring utility – Example

$$P^A(I[\text{Birth,City}], r[\text{Illness}]) - P(I[\text{Birth,City}], r[\text{Illness}])$$

		gastritis	diabetes	asthma	flu	obesity	measles
53/3/19	Paris	1/64	1/64	1/8	1/8	-1/8	-1/8
53/12/9	Oslo	1/64	1/64	1/8	1/8	-1/8	-1/8
56/12/9	Rome	97/4096	97/4096	1/64	1/64	1/64	1/64
57/6/25	Paris	1/64	1/64	-1/8	-1/8	1/8	1/8
58/5/18	Oslo	1/64	1/64	1/8	1/8	-1/8	-1/8
53/12/1	NY	1/64	1/64	-1/8	-1/8	1/8	1/8
60/7/25	Rome	1/64	1/64	-1/8	-1/8	1/8	1/8

# Measuring utility – Example

$$P^A(I[\text{Birth,City}], r[\text{Illness}]) - P(I[\text{Birth,City}], r[\text{Illness}])$$

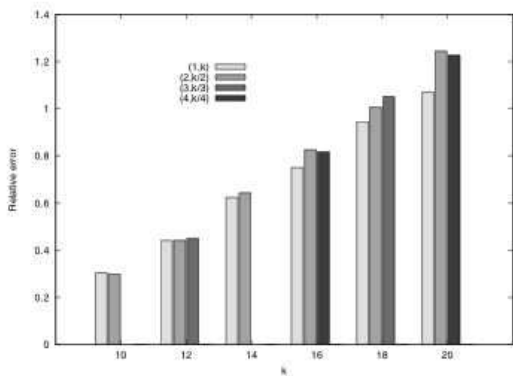
		gastritis	diabetes	asthma	flu	obesity	measles
53/3/19	Paris	1/64	1/64	1/8	1/8	-1/8	-1/8
53/12/9	Oslo	1/64	1/64	1/8	1/8	-1/8	-1/8
56/12/9	Rome	97/4096	97/4096	1/64	1/64	1/64	1/64
57/6/25	Paris	1/64	1/64	-1/8	-1/8	1/8	1/8
58/5/18	Oslo	1/64	1/64	1/8	1/8	-1/8	-1/8
53/12/1	NY	1/64	1/64	-1/8	-1/8	1/8	1/8
60/7/25	Rome	1/64	1/64	-1/8	-1/8	1/8	1/8

$$\text{Utility} = \frac{\sum_{I,r} |P^A(I[\text{Birth,City}], r[\text{Illness}]) - P(I[\text{Birth,City}], r[\text{Illness}])|}{42} = \frac{13506}{172032}$$

# Experimental evaluation

- Considered Census data (IPUMS-USA, <http://www.ipums.org>)
- Evaluated queries of the form
  - SELECT FROM WHERE returning a COUNT aggregation function
  - WHERE condition  $\bigwedge_{i=1}^n (\bigvee_{j=1}^m a_i = v_{ij})$
- Evaluated precision of queries
- Evaluated impact of  $k$ ,  $k_l$ , and  $k_r$  on query precision

# Experimental evaluation – results



- Precision in query evaluation progressively decreases as  $k$  increases
- The critical parameter in the configuration is the overall privacy degree  $k$ , rather than individual values of  $k_l$  and  $k_r$



# Summary of contributions

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- Novel approach to the problem of protecting privacy when publishing data
- Generic setting of the privacy problem that explicitly takes into consideration both privacy needs and visibility requirements
- Definition of loose associations for increasing data utility while preserving a given degree of privacy

# Future directions

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- Schema vs. instance constraints and visibility requirements
- Data dependencies not captured by confidentiality constraints
- External knowledge
- Support for different kinds of queries
- Different metrics to measure privacy and utility

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